

VENDOR CONTRACT

Between _____ and
(Company Name)

THE INTERLOCAL PURCHASING SYSTEM (TIPS)

For

Online Auction Systems and/or Auctioneer Services

CONTRACT #2102716

General Information

The vendor contract shall include the contract, the terms and conditions, special terms and conditions, any agreed upon amendments, as well as all of the sections of the solicitation and the awarded vendor's proposal. Once signed, if an awarded vendor's proposal varies or is unclear in any way from the TIPS contract, TIPS, at its sole discretion, will decide which provision will prevail. Other documents to be included are the awarded vendor's proposals, task orders, purchase orders and any adjustments which have been issued.

The following pages will constitute the contract between the successful vendors(s) and TIPS. Bidders shall state, in a separate writing, and include with their proposal response, any required exceptions or deviations from these terms, conditions, and specifications. If agreed to by TIPS, they will be incorporated into the final contract.

The Vendor Contract ("Contract") made and entered into by and between The Interlocal Purchasing System (hereinafter referred to as "TIPS" respectfully) a government cooperative purchasing program authorized by the Region VIII Education Service Center, having its principal place of business at 4845 US Hwy 271 North, Pittsburg, Texas 75686. This contract consists of the provisions set forth below, including provisions of all Attachments referenced herein. In the event of a conflict between the provisions set forth below and those contained in any Attachment, the provisions set forth shall control.

Definitions

PURCHASE ORDER is the TIPS member's approval providing the authority to proceed with the negotiated delivery order under the contract. Special terms and conditions as agreed to between the vendor and TIPS member will be added as addendums to the PO. Items such as certificate of insurance, bonding requirements, small or disadvantaged business goals are some of the addendums possible.

PREMIUM HOURS are defined as those hours not included in regular hours or recognized holidays. Premium hours are to be approved by the TIPS member for each delivery order and noted in the delivery order proposal as a line item during negotiations.

REGULAR HOURS are defined as those hours between the hours of 7 AM and 6 PM Monday thru Friday.

Terms and Conditions

Freight

All deliveries shall be freight prepaid, F.O.B. destination and shall be included in all pricing offered unless otherwise clearly stated in writing.

Warranty Conditions

All supplies equipment and services shall include manufacturer's minimum standard warranty unless otherwise agreed to in writing. Vendor shall be an authorized dealer, distributor or manufacturer for all products. All equipment proposed shall be new unless clearly stated in writing.

Customer Support

The Vendor shall provide timely and accurate customer support to TIPS members. Vendors shall respond to such requests within one (1) working day after receipt of the request. Vendor shall provide training regarding products and services supplied by the Vendor unless otherwise clearly stated in writing at the time of purchase. (Unless training is a line item sold or packaged and must be purchased with product.)

Contracts

All contracts and agreements between Vendors and TIPS Members shall strictly adhere to the statutes that are set forth in the Uniform Commercial Code as most recently revised.

Contracts for purchase will normally be put into effect by means of a purchase order(s) executed by authorized agents of the participating government entities.

Davis Bacon Act requirements will be met when Federal Funds are used for construction and/or repair of buildings.

Tax exempt status

A taxable item sold, leased, rented to, stored, used, or consumed by any of the following governmental entities is exempted from the taxes imposed by this chapter:(1) the United States; (2) an unincorporated instrumentality of the United States; (3) a corporation that is an agency or instrumentality of the United States and is wholly owned by the United States or by another corporation wholly owned by the United States;(4) the State of Texas; (5) a Texas county, city, special district, or other political subdivision; or (6) a state, or a governmental unit of a state that borders Texas, but only to the extent that the other state or governmental unit

exempts or does not impose a tax on similar sales of items to this state or a political subdivision of this state. Texas Tax Code § 151.309.

Assignments of contracts

No assignment of contract may be made without the prior written approval of TIPS. Payment can only be made to the awarded Vendor or vendor assigned dealer.

Disclosures

1. Vendor affirms that he/she has not given, offered to give, nor intends to give at any time hereafter any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor or service to a public servant in connection with this contract.
2. Vendor shall attach, in writing, a complete description of any and all relationships that might be considered a conflict of interest in doing business with participants in the TIPS program.
3. The vendor affirms that, to the best of his/her knowledge, the offer has been arrived at independently, and is submitted without collusion with anyone to obtain information or gain any favoritism that would in any way limit competition or give an unfair advantage over other vendors in the award of this contract.

Renewal of Contracts

The contract is for one (1) year with an option for renewal for 2 consecutive years. Total term of contract can be up to 3 years if sales are reported through the contract and both parties agree.

Shipments

The Vendor shall ship ordered products within five (5) working days after the receipt of the order. If a product cannot be shipped within that time, the Vendor shall notify TIPS and the requesting entity as to why the product has not shipped and shall provide an estimated shipping date, if applicable. TIPS or the requesting entity may cancel the order if estimated shipping time is not acceptable.

Invoices

The Vendor or vendor assigned dealer shall submit invoices, to the TIPS participant. Each invoice shall include the TIPS participant's purchase order number. The shipment tracking number or pertinent information for verification of TIPS participant receipt shall be made available upon request. The Vendor or vendor assigned dealer shall not invoice for partial shipments unless agreed to in writing in advance by TIPS and the TIPS participant.

Payments

The TIPS participant will make payments directly to the Vendor or vendor assigned dealer at net 30 days after receiving invoice.

Pricing

The Vendor contracts to provide pricing to TIPS and its participating governmental entities that is the lowest pricing available to like cooperative purchasing customers and the pricing shall remain so throughout the duration of the contract.

The Vendor agrees to promptly lower the cost of any product purchased through TIPS following a reduction in the manufacturer or publisher's direct cost to the Vendor. Price increases will be honored. However, the Vendor shall honor previous prices for thirty (30) days after written notification to TIPS of an increase.

All pricing submitted to TIPS shall include the participation fee to be remitted to TIPS by the Vendor. Vendor will not show adding the fee to the invoice presented to customer. The normal fee is 2%, but can be negotiated with the Vendor.

Participation Fees

Vendor or vendor assigned dealer contracts to pay the participation fee for all contract sales to TIPS on a monthly scheduled report. Vendor must login to the TIPS database and use the "Submission Report" section to report sales. The Vendor or vendor assigned dealers are responsible for keeping record of all sales that go through the TIPS contract. Failure to pay the participation fee will result in termination of contract. Please contact TIPS at tips@tips-usa.com or call (866) 839-8477 if you have questions about paying fees.

Indemnity

- 1. Indemnity for Personality Contracts.** Vendor agrees to indemnify and hold harmless and defend TIPS, TIPS member(s), officers and employees, from and against all claims and suits for damages, injuries to persons (including death), property damages, losses, and expenses including court costs and attorney's fees, arising out of, or resulting from, Vendor's performance of this contract, including all such causes of action based upon common, constitutional, or statutory law, or based in whole or in part, upon allegations of negligent or intentional acts on the part of the Vendor, its officers, employees, agents, subcontractors, licensees, invitees, whether or not such claims are based in whole or in part upon the negligent acts or omissions of the TIPS, TIPS member(s), officers, employees, or agents.
- 2. Indemnity for Performance Contracts.** The Vendor agrees to indemnify and hold harmless and defend TIPS, TIPS member(s), officers and employees from and against all claims and suits for damages, injuries to persons (including death), property damages, losses, and expenses including court costs and attorney's fees, arising out of, or resulting from, Vendor's work under this contract, including all such causes of action based upon common, constitutional, or statutory law, or based in whole or in part, upon allegations of negligent or intentional acts on the part of the Vendor, its officers, employees, agents, subcontractors, licensees, or invitees. Vendor further agrees to indemnify and

hold harmless and defend TIPS, TIPS member(s), officers and employees, from and against all claims and suits for injuries (including death) to an officer, employee, agent, subcontractor, supplier or equipment lessee of the Vendor, arising out of, or resulting from, Vendor's work under this contract whether or not such claims are based in whole or in part upon the negligent acts or omissions of the TIPS, TIPS member(s), officers, employees, or agents.

Attorney's Fees--Texas Local Government Code § 271.159 is expressly referenced.

Pursuant to §271.159, TEXAS LOC. GOV'T CODE, in the event that any one of the Parties is required to obtain the services of an attorney to enforce this Agreement, the prevailing party, in addition to other remedies available, shall be entitled to recover reasonable attorney's fees and costs of court.

Multiple Vendor Awards

TIPS reserves the right to award multiple vendor contracts for categories when deemed in the best interest of the TIPS membership. Bidders scoring 80% or above will be considered for an award. Categories are established at the discretion of TIPS.

State of Texas Franchise Tax

By signature hereon, the bidder hereby certifies that he/she is not currently delinquent in the payment of any franchise taxes owed the State of Texas under Chapter 171, Tax Code.

Miscellaneous

The Vendor acknowledges and agrees that continued participation in TIPS is subject to TIPS sole discretion and that any Vendor may be removed from the participation in the Program at any time with or without cause. Nothing in the contract or in any other communication between TIPS and the Vendor may be construed as a guarantee that TIPS participants will submit any orders at any time. TIPS reserves the right to request additional proposals for items already on contract at any time.

Purchase Order Pricing/Product Deviation

If a deviation of pricing/product on a purchase order occurs, TIPS is to be notified within 24 hours of receipt of order.

Cancellation for non-performance or contract deficiency

TIPS may terminate any contract if TIPS Members have not used the contract, or if purchase volume is determined to be "low volume" in any 12-month period. TIPS reserves the right to cancel the whole or any part of this contract due to failure by awarded vendor to carry out any obligation, term or condition of the contract. TIPS may issue a written deficiency notice to awarded vendor for acting or failing to act in any of the following:

- Providing material that does not meet the specifications of the contract;

- Providing work and/or material that was not awarded under the contract;
- Failing to adequately perform the services set forth in the scope of work and specifications;
- Failing to complete required work or furnish required materials within a reasonable amount of time;
- Failing to make progress in performance of the contract and/or giving TIPS reason to believe that awarded vendor will not or cannot perform the requirements of the contract; and/or
- Performing work or providing services under the contract prior to receiving a TIPS reviewed purchase order for such work.

Upon receipt of the written deficiency, awarded vendor shall have ten (10) days to provide a satisfactory response to TIPS. Failure to adequately address all issues of concern may result in contract cancellation. Upon cancellation under this paragraph, all goods, materials, work, documents, data and reports prepared by awarded vendor under this contract shall become the property of the TIPS Member on demand.

TIPS Member Purchasing Procedures

Purchase orders are issued by participating TIPS member to the awarded vendor indicating on the PO "Contract Number". Purchase Order is emailed to TIPS at tipspo@tips-usa.com.

- Awarded vendor delivers goods/services directly to the participating member.
- Awarded vendor invoices the participating TIPS member directly.
- Awarded vendor receives payment directly from the participating member.
- Awarded vendor reports sales monthly to TIPS (unless prior arrangements have been made with TIPS to report monthly).

Form of Contract

The form of contract for this solicitation shall be the Request for Proposal, the awarded proposal(s) and best and final offer(s), and properly issued and reviewed purchase orders referencing the requirements of the Request for Proposals. If a vendor submitting an offer requires TIPS and/or TIPS Member to sign an additional agreement, a copy of the proposed agreement must be included with the proposal.

Vendor contract documents: TIPS will review proposed vendor contract documents. Vendor's contract document shall not become part of TIPS's contract with vendor unless and until an authorized representative of TIPS reviews and approves it.

Licenses

Awarded vendor shall maintain in current status all federal, state and local licenses, bonds and permits required for the operation of the business conducted by awarded vendor. Awarded vendor shall remain fully informed of and in compliance with all ordinances and regulations pertaining to the lawful provision of services under the contract. TIPS reserves the right to stop

work and/or cancel contract of any awarded vendor whose license(s) expire, lapse, are suspended or terminated.

Novation

If awarded vendor sells or transfers all assets or the entire portion of the assets used to perform this contract, a successor in interest must guarantee to perform all obligations under this contract. TIPS reserves the right to accept or reject any new party. A simple change of name agreement will not change the contractual obligations of awarded vendor.

Site Requirements (when applicable to service or job)

Cleanup: Awarded vendor shall clean up and remove all debris and rubbish resulting from their work as required or directed by TIPS Member. Upon completion of work, the premises shall be left in good repair and an orderly, neat, clean and unobstructed condition.

Preparation: Awarded vendor shall not begin a project for which TIPS Member has not prepared the site, unless awarded vendor does the preparation work at no cost, or until TIPS Member includes the cost of site preparation in a purchase order.

Site preparation includes, but is not limited to: moving furniture, installing wiring for networks or power, and similar pre-installation requirements.

Registered sex offender restrictions: For work to be performed at schools, awarded vendor agrees that no employee of a sub-contractor who has been adjudicated to be a registered sex offender will perform work at any time when students are or reasonably expected to be present. Awarded vendor agrees that a violation of this condition shall be considered a material breach and may result in the cancellation of the purchase order at the TIPS Member's discretion.

Awarded vendor must identify any additional costs associated with compliance of this term. If no costs are specified, compliance with this term will be provided at no additional charge.

Safety measures: Awarded vendor shall take all reasonable precautions for the safety of employees on the worksite, and shall erect and properly maintain all necessary safeguards for protection of workers and the public. Awarded vendor shall post warning signs against all hazards created by the operation and work in progress. Proper precautions shall be taken pursuant to state law and standard practices to protect workers, general public and existing structures from injury or damage.

Smoking

Persons working under contract shall adhere to local smoking policies. Smoking will only be permitted in posted areas or off premises.

Invoices

The awarded vendor shall submit invoices to the participating entity clearly stating "Per TIPS Contract". The shipment tracking number or pertinent information for verification shall be made available upon request.

Marketing

Awarded vendor agrees to allow TIPS to use their name and logo within website, marketing materials and advertisement. Any use of TIPS name and logo or any form of publicity, inclusive of press release, regarding this contract by awarded vendor must have prior approval from TIPS.

Supplemental agreements

The entity participating in the TIPS contract and awarded vendor may enter into a separate supplemental agreement to further define the level of service requirements over and above the minimum defined in this contract i.e. invoice requirements, ordering requirements, specialized delivery, etc. Any supplemental agreement developed as a result of this contract is exclusively between the participating entity and awarded vendor. TIPS, its agents, TIPS members and employees shall not be made party to any claim for breach of such agreement.

Survival Clause

All applicable software license agreements, warranties or service agreements that were entered into between Vendor and Customer under the terms and conditions of the Contract shall survive the expiration or termination of the Contract. All Purchase Orders issued and accepted by Order Fulfiller shall survive expiration or termination of the Contract.

Legal obligations

It is the responding vendor's responsibility to be aware of and comply with all local, state and federal laws governing the sale of products/services identified in this RFP and any awarded contract thereof. Applicable laws and regulations must be followed even if not specifically identified herein.

Audit rights

Awarded Vendor shall, at their sole expense, maintain appropriate due diligence of all purchases made by TIPS Member that utilizes this Contract. TIPS and Region 8 ESC each reserve the right to audit the accounting for a period of three (3) years from the time such purchases are made. This audit right shall survive termination of this Agreement for a period of one (1) year from the effective date of termination. TIPS shall have authority to conduct random audits of Awarded Vendor's pricing that is offered to TIPS Members. Notwithstanding the foregoing, in the event that TIPS is made aware of any pricing being offered to eligible entities that is materially inconsistent with the pricing under this agreement, TIPS shall have the ability to conduct the audit internally or may engage a third-party auditing firm. In the event of an audit, the requested materials shall be provided in the format and at the location designated by Region 8 ESC or TIPS.

Force Majeure

If by reason of Force Majeure, either party hereto shall be rendered unable wholly or in part to carry out its obligations under this Agreement then such party shall give notice and fully particulars of Force Majeure in writing to the other party within a reasonable time after occurrence of the event or cause relied upon, and the obligation of the party giving such notice, so far as it is affected by such Force Majeure, shall be suspended during the continuance of the inability then claimed, except as hereinafter provided, but for no longer period, and such party shall endeavor to remove or overcome such inability with all reasonable dispatch.

Services

When applicable, performance bonds will be required on construction or labor required jobs over \$100,000 and payment bonds on jobs over \$25,000 or awarded vendor will meet the TIPS member's local and state purchasing requirements. Awarded vendors may need to provide additional capacity as jobs increase. Bonds will not require that a fee be paid to TIPS. The actual cost of the bond will be a pass through to the TIPS member and added to the purchase order.

Scope of Services

The specific scope of work for each job shall be determined in advance and in writing between TIPS Member and Awarded vendor. It is okay if the TIPS member provides a general scope, but the awarded vendor should provide a written scope of work to the TIPS member as part of the proposal. Once the scope of the job is agreed to, the TIPS member will issue a PO with the estimate referenced as an attachment along with bond and any other special provisions agreed to for the TIPS member. If special terms and conditions other than those covered within this solicitation and awarded contracts are required, they will be attached to the PO and shall take precedence over those in the base contract.

Project Delivery Order Procedures

The TIPS member having approved and signed an interlocal agreement, or other TIPS membership document, may make a request of the awarded vendor under this contract when the TIPS member has services that need to be undertaken. Notification may occur via phone, the web, email, fax, or in person.

Upon notification of a pending request, the awarded vendor shall make contact with the TIPS member as soon as possible, but must make contact with the TIPS member within two working days.

Scheduling of Projects

Scheduling of projects (if applicable) will be accomplished when the TIPS member issues a purchase order that will serve as "the notice to proceed". The period for the delivery order will include the mobilization, materials purchase, installation and delivery, design, weather, and site cleanup and inspection. No additional claims may be made for delays as a result of these items. When the tasks have been completed the awarded vendor shall notify the client and have the TIPS member inspect the work for acceptance under the scope and terms in the PO. The TIPS

member will issue in writing any corrective actions that are required. Upon completion of these items, the TIPS member will issue a completion notice and final payment will be issued.

Support Requirements

If there is a dispute between the awarded vendor and TIPS member, TIPS or its representatives will assist in conflict resolution or third party (mandatory mediation), if requested by either party. TIPS, or its representatives, reserves the right to inspect any project and audit the awarded vendors TIPS project files, documentation and correspondence.

Special Terms and Conditions

It is the intent of TIPS to contract with a reliable, high performance vendor to supply products and services to government and educational agencies. It is the experience of TIPS that the following procedures provide TIPS, the Vendor, and the participating agency the necessary support to facilitate a mutually beneficial relationship. The specific procedures will be negotiated with the successful vendor.

- **Contracts:** All vendor purchase orders must be emailed to TIPS at tipspo@tips-usa.com. Should an agency send an order direct to vendor, it is the vendor's responsibility to forward the order to TIPS at the email above within 24 business hours and confirm its receipt with TIPS.
 - **Promotion of Contract:** It is agreed that Vendor will encourage all eligible entities to purchase from the TIPS Program. Encouraging entities to purchase directly from the Vendor and not through TIPS contract is not acceptable to the terms and conditions of this contract and will result in removal of Vendor from Program. Vendor is expected to use marketing funds for the marketing and promotion of this contract.
 - **Daily Order Confirmation:** All contract purchase orders will be approved daily by TIPS and sent to vendor. The vendor must confirm receipt of orders to the TIPS member (customer) within 24 business hours.
 - **Vendor custom website for TIPS:** If Vendor is hosting a custom TIPS website, then updated pricing must be posted by 1st of each month.
 - **Back Ordered Products:** If product is not expected to ship within 3 business days, customer is to be notified within 24 hours and appropriate action taken based on customer request.
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Check one of the following responses to the General Terms and Special Terms and Conditions:

() We take no exceptions/deviations to the general and/or special terms and conditions.

(Note: If none are listed below, it is understood that no exceptions/deviations are taken.)

() We take the following exceptions/deviations to the general and/or special terms and conditions. All exceptions/deviations must be clearly explained. Reference the corresponding general or special terms and conditions that you are taking exceptions/deviations to. The proposer must clearly state if you are adding additional terms and conditions to the general or special terms and conditions. Provide details on your exceptions/deviations below:

Exceptions:

The Interlocal Purchasing System (TIPS Cooperative) Supplier Response

Bid Information		Contact Information		Ship to Information
Bid Creator	Karen Walton Internal Support Specialist	Address	Region VIII Education Service Center	Address
Email	Karen.Walton@tips-usa.com		4845 US Highway 271	
Phone	(903) 575-2761		North	Contact
Fax	(866) 929-4402	Contact	Pittsburg, TX 75686	
Bid Number	2102716		Kim Thompson, TIPS	Department
Title	Online Auction Systems and/or Auctioneer Services		Office Manager	Building
Bid Type	RFP	Department		Floor/Room
Issue Date	8/1/2016 08:01 AM (CT)	Building		Telephone
Close Date	9/9/2016 03:00:00 PM (CT)	Floor/Room		Fax
Need by Date		Telephone	+1 (866) 839-8477	Email
		Fax	+1 (866) 839-8472	
		Email	bids@tips-usa.com	

Supplier Information

Company Rene' Bates Auctioneers Inc
 Address 4660 County Road 1006
 McKinney, TX 75071

Contact
 Department
 Building
 Floor/Room
 Telephone 1 (972) 5489636
 Fax 1 (972) 5425495
 Email
 Submitted 9/7/2016 10:15:41 AM (CT)
 Total \$0.00

By submitting your response, you certify that you are authorized to represent and bind your company.

Signature Sheryl L Bates

Email sheryl@renebates.com

Supplier Notes

Bid Notes

Bid Activities

Bid Messages

Please review the following and respond where necessary

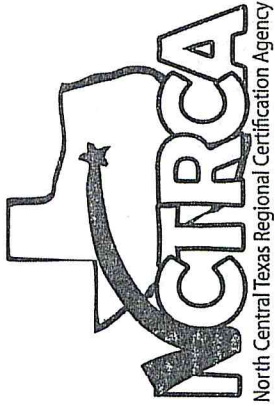
#	Name	Note	Response
1	Yes - No	Disadvantaged/Minority/Women Business Enterprise - D/M/WBE (Required by some participating governmental entities) Vendor certifies that their firm is a D/M/WBE? Vendor must upload proof of certification to the "Response Attachments" D/M/WBE CERTIFICATES section.	Yes
2	Yes - No	Highly Underutilized Business - HUB (Required by some participating governmental entities) Vendor certifies that their firm is a HUB? Vendor must upload proof of certification to the "Response Attachments" HUB CERTIFICATES section.	Yes
3	Yes - No	The Vendor can provide services and/or products to all 50 US States?	Yes
4	States Served:	If answer is NO to question #3, please list which states can be served. (Example: AR, OK, TX)	
5	Company and/or Product Description:	This information will appear on the TIPS website in the company profile section, if awarded a TIPS contract. (Limit 750 characters.)	Providing online auction services to governmental entities. Full service auction company since 1966 specializing in surplus sales for governmental entities.
6	Primary Contact Name	Primary Contact Name	Michelle Bates
7	Primary Contact Title	Primary Contact Title	Vice President
8	Primary Contact Email	Primary Contact Email	michelle@renebates.com
9	Primary Contact Phone	Enter 10 digit phone number. (No dashes or extensions) Example: 8668398477	9725489636
10	Primary Contact Fax	Enter 10 digit phone number. (No dashes or extensions) Example: 8668398477	9725425495
11	Primary Contact Mobile	Enter 10 digit phone number. (No dashes or extensions) Example: 8668398477	9727407699
12	Secondary Contact Name	Secondary Contact Name	Sheryl Bates
13	Secondary Contact Title	Secondary Contact Title	President
14	Secondary Contact Email	Secondary Contact Email	sheryl@renebates.com
15	Secondary Contact Phone	Enter 10 digit phone number. (No dashes or extensions) Example: 8668398477	9725489636
16	Secondary Contact Fax	Enter 10 digit phone number. (No dashes or extensions) Example: 8668398477	9725425495
17	Secondary Contact Mobile	Enter 10 digit phone number. (No dashes or extensions) Example: 8668398477	9727407189
18	Admin Fee Contact Name	Admin Fee Contact Name. This person is responsible for paying the admin fee to TIPS.	Sheryl Bates
19	Admin Fee Contact Email	Admin Fee Contact Email	sheryl@renebates.com
20	Admin Fee Contact Phone	Enter 10 digit phone number. (No dashes or extensions) Example: 8668398477	9725489636

21	Purchase Order Contact Name	Purchase Order Contact Name. This person is responsible for receiving Purchase Orders from TIPS.	Sheryl Bates
22	Purchase Order Contact Email	Purchase Order Contact Email	sheryl@renebates.com
23	Purchase Order Contact Phone	Enter 10 digit phone number. (No dashes or extensions) Example: 8668398477	9725489636
24	Company Website	Company Website (Format - www.company.com)	www.renebates.com
25	Federal ID Number:	Federal ID Number also known as the Employer Identification Number. (Format - 12-3456789)	75-1718382
26	Primary Address	Primary Address	4660 County Road 1006
27	Primary Address City	Primary Address City	McKinney
28	Primary Address State	Primary Address State (2 Digit Abbreviation)	TX
29	Primary Address Zip	Primary Address Zip	75071
30	Search Words:	Please list search words to be posted in the TIPS database about your company that TIPS website users might search. Words may be product names, manufacturers, or other words associated with the category of award. YOU MAY NOT LIST NON-CATEGORY ITEMS. (Limit 500 words) (Format: product, paper, construction, manufacturer name, etc.)	Surplus sales, auctions, online auctions, vehicles, heavy equipment, real estate, furniture, fixtures, equipment, miscellaneous, surplus auctions, auction, vehicles, automobiles, ambulances, dump trucks, heavy trucks, boats, buses, electronics, computers, fire trucks, emergency equipment, livestock,, mowers, motorcycles, pickups, portable buildings, refuse containers, sports items, tools, trailers, utility vehicles, water meters
31	Yes - No	Do you wish to be eligible to participate in a TIPS contract in which a TIPS member utilizes federal funds on contracts exceeding \$100,000? (Non-Construction) (If YES, vendor should download the Federal Regulations for Contracts document from the Attachments section, fill out the form and submit the document in the "Response Attachments" FEDERAL FUNDS section.) (Vendor must also download the Suspension or Debarment Certificate document from the Attachments section, fill out the form and submit the document in the "Response Attachments" SUSPENSION OR DEBARMENT section.)	Yes
32	Yes - No	Certification of Residency (Required by the State of Texas) Company submitting bid is a Texas resident bidder?	Yes
33	Company Residence (City)	Vendor's principal place of business is in the city of?	McKinney
34	Company Residence (State)	Vendor's principal place of business is in the state of?	Texas
35	Felony Conviction Notice:	(Required by the State of Texas) My firm is, as outlined on PAGE 5 in the Instructions to Bidders document: (Questions 36 - 37)	(No Response Required)
36	Yes - No	A publicly held corporation; therefore, this reporting requirement is not applicable?	No
37	Yes - No	Is owned or operated by individual(s) who has/have been convicted of a felony? If answer is YES, a detailed explanation of the name(s) and conviction(s) must be uploaded to the "Response Attachments" FELONY CONVICTION section.	No

38	Pricing Information:	Pricing information section. (Questions 39 - 42)	(No Response Required)
39	Yes - No	In addition to the typical unit pricing furnished herein, the Vendor agrees to furnish all current and future products at prices that are proportionate to Dealer Pricing. If answer is NO, include a statement detailing how pricing for TIPS participants would be calculated in the PRICING document that is uploaded to the "Response Attachments" PRICING section.	Yes
40	Yes - No	Pricing submitted includes the TIPS administration fee?	Yes
41	Yes - No	Vendor agrees to remit to TIPS the required administration fee?	Yes
42	Yes - No	Additional discounts to TIPS members for bulk quantities or scope of work?	Yes
43	Start Time	Average start time after receipt of customer order is ____ working days?	2
44	Years Experience	Company years experience in this category?	50
45	Resellers:	Does the vendor have resellers that it will name under this contract? (If applicable, vendor should download the Reseller/Dealers spreadsheet from the Attachments section, fill out the form and submit the document in the "Response Attachments" RESELLERS section.	No
46	Prices are guaranteed for?	(__ Month(s), __ Year(s), or Term of Contract) (Standard term is "Term of Contract")	3 years

Line Items

Response Total: \$0.00



Women-Owned Business Enterprise Certification

Rene' Bates Auctioneers, Inc.

Woman-Owned Business Enterprise

has filed with the Agency an Affidavit as defined by NCTRCA M/WBE Policies & Procedures and is hereby certified to provide service(s) in the following areas:

453998; 561990;

All Other Miscellaneous Store - Auction Houses Retailers; All Other Support Services - Independent Auctioneer;

This Certification is valid beginning October 2014 and superceded any registration or listing previously issued. This certification must ne updated annually by submission of an Annual Update Affidavit..At any time there is a change in ownership or control of the firm, notification must be made immediately to the North Central Texas Regional Certification Agency.

Certification Administrator

Certificate expiration October 2016

Issued date October 2014

CERTIFICATION NO. WFWB63294Y1016



South Central Texas Regional Certification Agency

Your unified certification source
www.sctrca.org

October 6, 2015

Sheryl Bates
Rene' Bates Auctioneers Inc.
4660 County Road 1006
McKinney, TX 75071

Dear Sheryl Bates:

We are pleased to inform you that your application for certification in our Small, Minority, Woman, African American, Veteran, and Disabled Individual Business Enterprise (S/M/W/AA/V/DI) Program has been approved. Your firm met the requirements of SCTRCA Standards and is currently certified as a:

***WBE**

Certification Number: **215103063**
Certification Renewal: **October 31, 2017**
Certification Expiration: **October 31, 2017**

Providing the following products or services:

NAICS-453998: ALL OTHER MISCELLANEOUS STORE RETAILERS (EXCEPT TOBACCO STORES)
NAICS-561990: AUCTIONEERS, INDEPENDENT

On the two year anniversary date of your certification, you are required to provide a renewal application affirming that no changes have occurred affecting your certification status. The SCTRCA will send you a Certification Renewal reminder **sixty (60) days** prior to your expiration date. The SCTRCA will no longer include a certificate upon certification renewals. **Your expiration date is October 31, 2017.**

Please notify this office within **thirty (30) days** of any changes affecting the size, ownership, control requirements, or any material change in the information provided in the submission of the certification application. Thank you in advance.

Sincerely,

Danielle Olukotun,
Interim Director



hereby grants

National Women's Business Enterprise Certification

to

Rene' Bates Auctioneers, Inc.

who has successfully met WBENC's standards as a Women's Business Enterprise (WBE).

This certification affirms the business is woman-owned, operated and controlled; and is valid through the date herein.

WBENC National WBE Certification was processed and validated by
Women's Business Council – Southwest, a WBENC Regional Partner Organization.



Authorized by Debbie Hurst, President
Women's Business Council – Southwest

Certification Granted: 08/31/2008
Expiration Date: 08/31/2017
WBENC National Certification Number: 2005111081

NAICS Codes: 561990, 541990, 454112, 453998

UNSPSC Codes: 80141705



Women's Business Enterprise
National Council

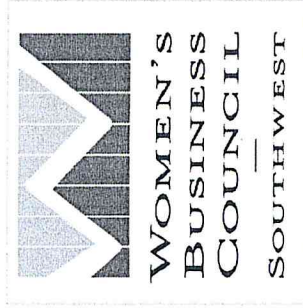
WBENC

**HEREBY GRANTS
WOMAN OWNED SMALL BUSINESS (WOSB) CERTIFICATION TO
Rene' Bates Auctioneers, Inc.**

The identified small business is an eligible WOSB for the WOSB Program, as set forth in 13 C.F.R. part 127 and has been certified as such by an SBA approved Third Party Certifier pursuant to the Third Party Agreement, dated June 30, 2011, and available at www.sba.gov/wosb.

The WOSB Certification expires on the date herein unless there is a change in the SBA's regulation that makes the WOSB ineligible or there is a change in the WOSB that makes the WOSB ineligible. If either occurs, this WOSB Certification is immediately invalid. The WOSB must not misrepresent its certification status to any other party, including any local or State government or contracting official or the Federal government or any of its contracting officials.

NAICS: 561990, 541990, 454112, 453998
Certification Number: W040266
Expiration Date: 08/31/2017



Debbie Hurst

Debbie Hurst, Women's Business Council-
Southwest President

Pamela Prince-Eason

Pamela Prince-Eason, WBENC President & CEO

Candace Waterman

Candace Waterman, WBENC Chief of Staff,
Certification & Program Operations



GLENN HEGAR TEXAS COMPTROLLER OF PUBLIC ACCOUNTS

The Texas Comptroller of Public Accounts (CPA) administers the Statewide Historically Underutilized Business (HUB) Program for the State of Texas, which includes certifying minority and woman-owned businesses as HUBs and is designed to facilitate the participation of minority and woman-owned businesses in state agency procurement opportunities.

We are pleased to inform you that your application for certification/re-certification as a HUB has been approved. Your company's profile is listed in the State of Texas HUB Directory and may be viewed online at <http://www.window.state.tx.us/procurement/cmb/hubonly.html>. Provided that your company continues to meet HUB eligibility requirements, the enclosed HUB certificate is valid for four years.

You must notify the HUB Program in writing of any changes affecting your company's compliance with the HUB eligibility requirements, including changes in ownership, day-to-day management, control and/or principal place of business. *Note: Any changes made to your company's information may require the HUB Program to re-evaluate your company's eligibility.*

Please reference the enclosed pamphlet for additional resources, such as the state's Centralized Master Bidders List (CMBL), that can increase your chance of doing business with the state.

Thank you for your participation in the HUB Program! If you have any questions, you may contact a HUB Program representative at 512-463-5872 or toll-free in Texas at 1-888-863-5881.

Texas Historically Underutilized Business (HUB) Certificate



Certificate/VID Number:	1751718382200
File/Vendor Number:	008990
Approval Date:	11-MAR-2016
Scheduled Expiration Date:	11-MAR-2020

The Texas Comptroller of Public Accounts (CPA), hereby certifies that

REN? BATES AUCTIONEERS, INC.

has successfully met the established requirements of the State of Texas Historically Underutilized Business (HUB) Program to be recognized as a HUB. This certificate printed 11-MAR-2016, supersedes any registration and certificate previously issued by the HUB Program. If there are any changes regarding the information (i.e., business structure, ownership, day-to-day management, operational control, business location) provided in the submission of the business' application for registration/certification as a HUB, you must immediately (within 30 days of such changes) notify the HUB Program in writing. The CPA reserves the right to conduct a compliance review at any time to confirm HUB eligibility. HUB certification may be suspended or revoked upon findings of ineligibility.

*Paul Gibson, Statewide HUB Program Manager
Texas Procurement and Support Services*

Note: In order for State agencies and institutions of higher education (universities) to be credited for utilizing this business as a HUB, they must award payment under the Certificate/VID Number identified above. Agencies and universities are encouraged to validate HUB certification prior to issuing a notice of award by accessing the Internet (<http://www.window.state.tx.us/procurement/cmb/cmbhub.html>) or by contacting the HUB Program at 1-888-863-5881 or 512-463-5872.



TEXAS DEPARTMENT OF LICENSING AND REGULATION

P.O. Box 12157 Austin, TX 78711

1

SHERYL LYNN BATES
4660 COUNTY ROAD 1006
MCKINNEY, TX 75071-6614

The Mission of Texas State Government

Texas state government must be limited, efficient, and completely accountable.

It should foster opportunity and economic prosperity, focus on critical priorities, and support the creation of strong family environments for our children.

The stewards of the public trust must be men and women who administer state government in a fair, just, and responsible manner.

To honor the public trust, state officials must seek new and innovative ways to meet State Government priorities in a fiscally responsible manner.

Visit us on the web at:
<http://www.license.state.tx.us>

The Texas Department of Licensing and Regulation's vision is to be the model state agency, setting the standard for customer service, cost effectiveness, staff efficiency and public trust. This new thermal card is an example of TDLR's commitment to provide you, our customers, with better service. The card's new look is in response to our licensees' feedback as well as a recent increase in postal rates. They requested a card that is easier to read. With approximately 150,000 license cards issued annually, we sought to offset the postage increase with a cost-wise card. This has provided a "win-win" answer, a more durable, easier to read license with lower production costs that allows the agency to stay within its budget.

The thermal wallet card attached below is your license effective through the specified expiration date. We welcome your comments about the new card.

Sincerely,

William H. Kuntz, Jr.
Executive Director

For assistance contact Customer Service
customer.service@license.state.tx.us

(512) 463-6599

(800) 803-9202 (statewide toll free)

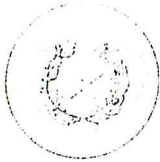
STATE OF TEXAS
SHERYL LYNN BATES

AUCTIONEER



LICENSE NUMBER 15025
EXPIRES 07/07/2017

TEXAS DEPARTMENT OF LICENSING AND REGULATION



TEXAS DEPARTMENT OF LICENSING AND REGULATION

P.O. Box 13489 Austin, TX 78711

4

RENE BATES
4660 COUNTY ROAD 1006
MCKINNEY, TX 75071-6614

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William H. Kuntz, Jr.
Executive Director

For assistance contact Customer Service
customer.service@license.state.tx.us

(512) 463-6599

(800) 803-9202 (statewide toll free)

STATE OF TEXAS

RENE BATES

AUCTIONEER



LICENSE NUMBER 6644
EXPIRES 01/31/2017

TEXAS DEPARTMENT OF LICENSING AND REGULATION



TEXAS DEPARTMENT OF LICENSING AND REGULATION

P.O. Box 13489 Austin, TX 78711

3

MICHELLE RENEE BATES
4660 COUNTY ROAD 1006
MCKINNEY, TX 75071-6614

The Mission of Texas State Government

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<http://www.license.state.tx.us>

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Sincerely,

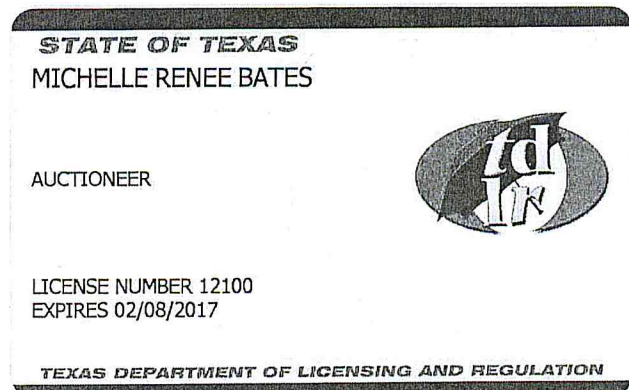
William H. Kuntz, Jr.
Executive Director

For assistance contact Customer Service

customer.service@license.state.tx.us

(512) 463-6599

(800) 803-9202 (statewide toll free)



Q Sales Taxpayer Search

Q Taxpayer Locations

This taxpayer has 2 locations.

Taxpayer	
Taxpayer ID:	17517183822
Taxpayer Name:	RENE' BATES AUCTIONEERS, INC.
Mailing Address:	4660 COUNTY ROAD 1006 MC KINNEY, TX 75071
Permit Status:	<input type="button" value="ACTIVE"/>

Locations					
SORT: LOCATION NAME (A-Z) ▾					
Location Name	Status	Address	Location Number	Permit Begin Date	Permit End Date
RENE' BATES AUCTIONEERS INC	<input type="button" value="INACTIVE"/>	BLOOMDALE RD OFF FM 1461 MCKINNEY, TX 75069	00001	01/01/1980	12/31/1998
RENE' BATES AUCTIONEERS INC	<input type="button" value="ACTIVE"/>	4660 COUNTY ROAD 1006 MCKINNEY, TX 75070	00002	01/01/1999	

ARKANSAS AUCTIONEERS LICENSING BOARD

2016

This is to certify that the person named below is duly licensed as a

Reciprocal Auctioneer

2017

unless license is sooner revoked, suspended, canceled, or restricted.

License Number

215

Effective Date

7/11/2016

Expiration Date

6/30/2017



RENE' BATES AUCTIONEERS INC

RENE' BATES

4660 CR 1006

MC KINNEY, TX 75071

AR AUCTIONEERS LICENSING BOARD

Kirsty C. Arnold

Secretary/ Treasurer

AR AUCTIONEERS LICENSING BOARD

PLEASE READ

The following **CHANGE OF ADDRESS** information is from A.C.A. § 17-17-304 of the Auctioneer Law.

1. Notice in writing shall be given to the Board by each licensee of any change of business location within thirty (30) days after any change in location, whereupon the Board shall issue a new license for the unexpired period.
2. A change of business location shall automatically cancel the license previously issued.
3. Changing a business location and issuance of a new license shall entitle the Board to collect five dollars (\$5.00).

Before a change of address can be made, the item(s) listed below will need to be forwarded to our office.

_____ \$5.00 check or money order

_____ License Certificate

_____ Pocket Card

Please forward the items to our office as soon as possible, with this signed form.

Signature _____

AR AUCTIONEERS LICENSING BOARD

This is to certify that the person named below is duly licensed as a

Reciprocal Auctioneer

unless license is sooner revoked, suspended, canceled, or restricted.

License Number

215

Effective Date

7/11/2016

Expiration Date

6/30/2017

2016

RENE' BATES

2017



AR AUCTIONEERS LICENSING BOARD

Kirsty C. Arnold Secretary/ Treasurer

**PLEASE
CARRY
THIS CARD
WITH YOU
AT ALL
TIMES.**

1. Fold and peel



2. Turn card
over and place



3. Push card
back through clear



STATE OF ALABAMA

The Alabama State Board of Auctioneers

Hereby Grants

To

Rene` Bates

Rene` Bates Auctioneers, Inc.

4660 CR 1006

McKinney, TX 75071

Type	License Number	Issue Date	Expir. Date	Penalty Fee
A	232	09/30/2016	09/30/2017	

THIS LICENSE SHALL BE SUBJECT TO SECTION 34-4-1, ET. SEQ., CODE OF ALABAMA, 1975, AND ALL RULES AND REGULATIONS OF THE ALABAMA STATE BOARD OF AUCTIONEERS, ANY VIOLATION OF THE ABOVE MAY SUBJECT THIS LICENSE TO SUSPENSION OR REVOCATION.

ALABAMA STATE BOARD OF AUCTIONEERS



Chair

Control #

6292

STATE OF ALABAMA

The Alabama State Board of Auctioneers

Hereby Grants

To

Rene' Bates Auctioneers, Inc.

Auctioneer: Rene' Bates, #232

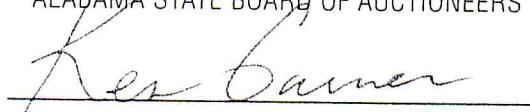
4660 Co Rd 1006

McKinney, TX 75071

Type	License Number	Issue Date	Expir. Date	Penalty Fee
C	140	09/30/2016	09/30/2017	

THIS LICENSE SHALL BE SUBJECT TO SECTION 34-4-1, ET. SEQ., CODE OF ALABAMA, 1975, AND ALL RULES AND REGULATIONS OF THE ALABAMA STATE BOARD OF AUCTIONEERS, ANY VIOLATION OF THE ABOVE MAY SUBJECT THIS LICENSE TO SUSPENSION OR REVOCATION.

ALABAMA STATE BOARD OF AUCTIONEERS



Chair

Control #

6224

State of Florida

Department of State

I certify from the records of this office that RENE' BATES AUCTIONEERS, INC. is a Texas corporation authorized to transact business in the State of Florida, qualified on June 19, 2008.

The document number of this corporation is F08000002745.

I further certify that said corporation has paid all fees due this office through December 31, 2016, that its most recent annual report/uniform business report was filed on January 25, 2016, and that its status is active.

I further certify that said corporation has not filed a Certificate of Withdrawal.

*Given under my hand and the
Great Seal of the State of Florida
at Tallahassee, the Capital, this
the Twenty-fifth day of January,
2016*



Ken Deitzner
Secretary of State

Tracking Number: CC3572011863

To authenticate this certificate, visit the following site, enter this number, and then follow the instructions displayed.

<https://services.sunbiz.org/Filings/CertificateOfStatus/CertificateAuthentication>



2016 Florida Annual Resale Certificate for Sales Tax

DR-13
R. 10/15

THIS CERTIFICATE EXPIRES ON DECEMBER 31, 2016

Business Name and Location Address

Certificate Number

78-8011943357-2

RENE BATES AUCTIONEERS INC
4660 COUNTY ROAD 1006
MC KINNEY, TX 75071-6614

By extending this certificate or the certificate number to a selling dealer to make eligible purchases of taxable property or services exempt from sales tax and discretionary sales surtax, the person or business named above certifies that the taxable property or services purchased or rented will be resold or re-rented for one or more of the following purposes:

- Resale as tangible personal property.
- Re-rental as tangible personal property.
- Resale of services.
- Re-rental as commercial real property.
- Incorporation into and sale as part of the repair of tangible personal property by a repair dealer.
- Re-rental as transient rental property.
- Incorporation as a material, ingredient, or component part of tangible personal property that is being produced for sale by manufacturing, compounding, or processing.

Florida law provides for criminal and civil penalties for fraudulent use of a *Florida Annual Resale Certificate*.

The *Florida Annual Resale Certificate* is issued to active, registered sales and use tax dealers. **As a buyer**, use your certificate to purchase or rent property or services tax exempt that you intend to resell or re-rent to your customers. You cannot use this certificate to purchase or rent property or services that you will use in your business. **As a seller**, you must collect sales tax and discretionary sales surtax imposed on retail sales or rentals of taxable property or services, unless the transaction is exempt.

Seller Certificate Verification – Verify resale or exemption certificates using a customer's sales tax certificate number:

- Phone: **877-FL-RESALE** (877-357-3725)
- Online: Go to www.myflorida.com/dor and select "More e-Services" and then "Verify resale and exemption certificates"
- Mobile App: **Florida Tax (FL Tax)** mobile app for iPhone, iPad, Android phones and tablets, Windows Phone

If you obtain an authorization number for each tax-exempt sale, or for all sales to a specific customer, you do **not** need to keep a copy of the customer's *Florida Annual Resale Certificate*.



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

FLORIDA BOARD OF AUCTIONEERS
1940 NORTH MONROE STREET
TALLAHASSEE FL 32399-0783

(850) 487-1395

RENE' BATES AUTIONEERS INC
4660 COUNTY ROAD 1006
MC KINNEY TX 75071-6614

FL -
All expire
11-2017

Congratulations! With this license you become one of the nearly one million Floridians licensed by the Department of Business and Professional Regulation. Our professionals and businesses range from architects to yacht brokers, from boxers to barbeque restaurants, and they keep Florida's economy strong.

Every day we work to improve the way we do business in order to serve you better. For information about our services, please log onto www.myfloridalicense.com. There you can find more information about our divisions and the regulations that impact you, subscribe to department newsletters and learn more about the Department's initiatives.

Our mission at the Department is: License Efficiently, Regulate Fairly. We constantly strive to serve you better so that you can serve your customers. Thank you for doing business in Florida, and congratulations on your new license!



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

AB55

ISSUED: 09/01/2015

AUCTION BUSINESS
RENE' BATES AUTIONEERS INC

IS LICENSED under the provisions of Ch 468 FS.
Expiration date : NOV 30, 2017 L1509010003194

DETACH HERE

RICK SCOTT, GOVERNOR

KEN LAWSON, SECRETARY

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
FLORIDA BOARD OF AUCTIONEERS

LICENSE NUMBER	
AB55	



The AUCTION BUSINESS
Named below IS LICENSED
Under the provisions of Chapter 468 FS.
Expiration date: NOV 30, 2017

RENE' BATES AUTIONEERS INC
4660 COUNTY ROAD 1006
MC KINNEY TX 75071-6614



ISSUED 09/01/2015

DISPLAY AS REQUIRED BY LAW

SEQ # L1509010003194



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

FLORIDA BOARD OF AUCTIONEERS
1940 NORTH MONROE STREET
TALLAHASSEE FL 32399-0783

(850) 487-1395

Handwritten: 11-05-14
1932-17
11-05-14

BATES, RENE
4660 COUNTY ROAD 1006
MCKINNEY TX 75071-6614

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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

AU55

ISSUED: 09/01/2015

AUCTIONEER
BATES, RENE

IS LICENSED under the provisions of Ch. 468 FS.
Expiration date NOV 30, 2017 L1509010003146

DETACH HERE

RICK SCOTT, GOVERNOR

KEN LAWSON, SECRETARY

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
FLORIDA BOARD OF AUCTIONEERS

LICENSE NUMBER	
AU55	

The AUCTIONEER
Named below IS LICENSED
Under the provisions of Chapter 468 FS.
Expiration date: NOV 30, 2017



BATES, RENE
4660 COUNTY ROAD 1006
MCKINNEY TX 75071-6614





STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

FLORIDA BOARD OF AUCTIONEERS
1940 NORTH MONROE STREET
TALLAHASSEE FL 32399-0783

(850) 487-1395

BATES, SHERYL LYNN
4660 COUNTY ROAD 1006
MCKINNEY TX 75071

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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION

AU4025

ISSUED: 09/01/2015

AUCTIONEER
BATES, SHERYL LYNN

IS LICENSED under the provisions of Ch. 468 FS.
Expiration date - NOV 30, 2017 L1509010003147

DETACH HERE

RICK SCOTT, GOVERNOR

KEN LAWSON, SECRETARY

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
FLORIDA BOARD OF AUCTIONEERS

LICENSE NUMBER	
AU4025	

The AUCTIONEER
Named below IS LICENSED
Under the provisions of Chapter 468 FS.
Expiration date: NOV 30, 2017



BATES, SHERYL LYNN
4660 COUNTY ROAD 1006
MCKINNEY TX 75071





STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

FLORIDA BOARD OF AUCTIONEERS
1940 NORTH MONROE STREET
TALLAHASSEE FL 32399-0783

(850) 487-1395

BATES, MICHELLE RENEE
4660 COUNTY ROAD 1006
MCKINNEY TX 75071-6614

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DETACH HERE

RICK SCOTT, GOVERNOR

KEN LAWSON, SECRETARY

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
FLORIDA BOARD OF AUCTIONEERS

LICENSE NUMBER
AU2085

The AUCTIONEER
Named below IS LICENSED
Under the provisions of Chapter 468 FS.
Expiration date: NOV 30, 2017

BATES, MICHELLE RENEE
4660 COUNTY ROAD 1006
MCKINNEY TX 75071-6614





STATE OF INDIANA

Michael R. Pence

Indiana Professional Licensing Agency
402 W. Washington St. Room W072
Indianapolis, IN 46204
Phone: (317) 232-2980
Fax: (317) 233-4236

Digitally Certified Proof of Licensure

RE: Rene Bates

I, Deborah J. Frye, Executive Director of the Indiana Professional Licensing Agency and custodian of the records therein, hereby certify that the attached is the digitally certified proof of licensure, as requested, and as it appears in the files of the Indiana Professional Licensing Agency on the date/time certified.

This digital certification follows the requirements of Indiana's Electronic Digital Signature Act (Indiana Code 5-24-1-1 et seq.) and rules developed by the Indiana State Board of Accounts, 20 IAC 3-1 et seq. to establish a valid digital electronic signature

If you have the need to verify the authenticity of the digital certification as of the date and time stamp below, go to <https://secure.in.gov/apps/pla/verify.htm> and use our free web service to "Verify an Electronic Certified Record". Simply browse to the location you saved the secure pdf document sent to you and upload to validate.

Deborah J. Frye, Executive Director

Mon Sep 05 01:15:54 PM EDT 2016





STATE OF INDIANA

Michael R. Pence

Indiana Professional Licensing Agency
402 W. Washington St. Room W072
Indianapolis, IN 46204
Phone: (317) 232-2980
Fax: (317) 233-4236

Official Proof of Licensure Digitally Certified Record

Personal Information

Name: Rene Bates
Address: 4660 County Road 1006
McKinney, TX 75071
Date of Birth:

License Information

Number Issued: AU01045613
License Type: Auctioneer
Status: Active
Issue date: 10/07/1982
Expiration Date: 02/28/2020
Obtained By: Application
Disciplinary Action: None

This licensee has met ALL requirements for licensure in the State of Indiana - including successfully passing all required exams.

For additional information including questions regarding Disciplinary Action, contact the appropriate Board or Commission at www.in.gov/pla/boards.htm

Digitally Certified on: Mon Sep 05 01:15:54 PM EDT 2016





Louisiana
Office of the Governor
Auctioneers Licensing Board

Bobby Jindal
Governor

November 9, 2015

Rene' Bates
4660 County Road 1006
McKinney, TX 75071-6614

Dear Rene',

RE: 2016 License Renewal – License # 0139

Your Louisiana Auctioneer license renewal has been processed. Your license is now valid until December 31, 2016.

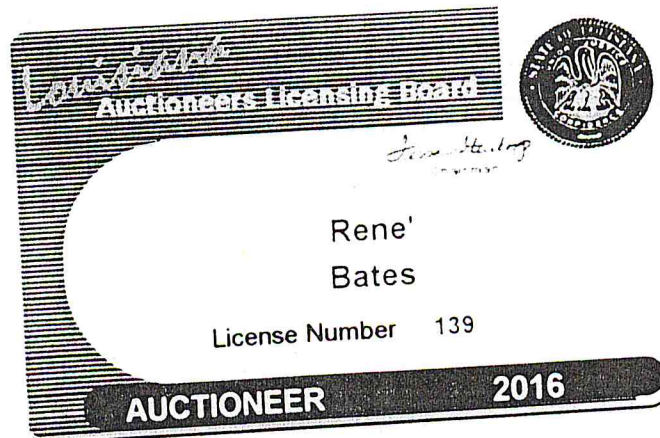
In order to hold an auction in East Baton Rouge Parish, you must apply for an auction license directly from them in addition to your LA Auctioneer's license. You can find the application, checklist, and ordinances on the 'licensing' page of www.lalb.org.

Please feel free to contact me if you need anything.

Sincerely,

Sandy Edmonds
Executive Assistant

Enclosure



NORTH CAROLINA
AUCTIONEER LICENSING BOARD
LICENSE RENEWAL POCKET CARD

LICENSEE		
Rene' Bates		
AUCTIONEER	5297	6/30/2017
Type License	License Number	Expiration Date
_____ Licensee's Signature		<i>Cheryl F. Bell</i> Acting Executive Director



Ohio Department of Agriculture
 Enforcement Division • Auctioneer Program
 8995 E. Main St. Bldg 1
 Reynoldsburg, OH 43068

Governor John Kasich
 Director David T. Daniels

License Fee: \$200.00
 License Number: 57198537789
 License Expiration: June 30, 2017
 Date Issued: March 10, 2015

Auctioneer

Bates, Rene'
 4660 County Road 1006
 Mckinney, TX 75071-6614

The above licensee is hereby granted a license to operate as an Auctioneer in accordance with the provisions of Chapter 4707 of the Ohio Revised Code, subject to all provisions thereof and to the regulations of this division for the period of 3/10/2015 through 6/30/2017.

David T. Daniels

Director, Ohio Department of Agriculture

License is non-transferable



Ohio Department of Agriculture
 Governor John Kasich
 Director David T. Daniels
 Auctioneer

Lic # 57198537789

Bates, Rene'
 4660 County Road 1006
 Mckinney, TX 75071-6614

Signature: _____

License Expires: 6/30/2017

Under Ohio Revised Code 4707.10, the attached ID card shall be carried with the licensee when engaged in any type of auction activities. The wall certificate needs to be posted in a conspicuous location at the licensee's place of business.

All licenses expire on the last day of June and shall be renewed according to the standard renewal procedures found in R.C. 4707.10. Any licensee under this chapter who wishes to renew his license but fails to do so before the first day of July shall reapply for licensure in the same manner and pursuant to the same requirements as for initial licensure, unless before the first day of September of the year of expiration, the former licensee pays to the department, in addition to the regular renewal fee, a late renewal penalty of one hundred dollars.

Notice in writing shall be given to the department by each auctioneer or apprentice auctioneer licensee of any change of principal business location or any change or addition to the name under which business is conducted, whereupon the department shall issue a new license for the unexpired period. Any change of business location or change or addition of names without notification to the department shall automatically cancel any license previously issued.

BLC 1185717

South Carolina Department of Labor, Licensing and Regulation
Auctioneers' Commission

License Type
AUC

License Number
AUC . 656

Effective Date
05/02/2015

Expiration Date
06/30/2017



RENE' BATES

BLC 1185717

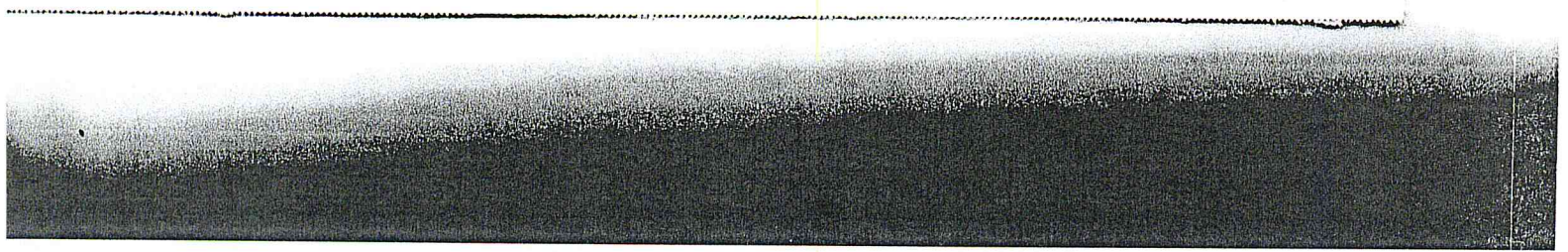
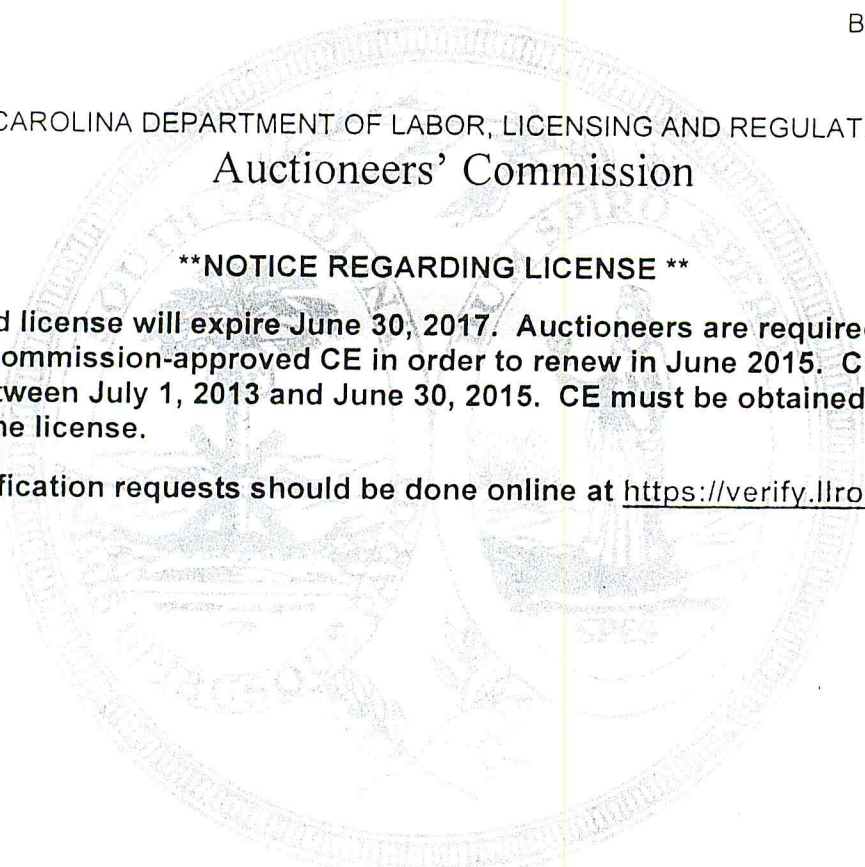
SOUTH CAROLINA DEPARTMENT OF LABOR, LICENSING AND REGULATION

Auctioneers' Commission

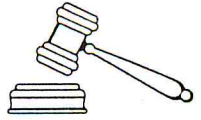
****NOTICE REGARDING LICENSE ****

The attached license will expire June 30, 2017. Auctioneers are required to obtain 8 hours of Commission-approved CE in order to renew in June 2015. CE must be obtained between July 1, 2013 and June 30, 2015. CE must be obtained PRIOR to renewal of the license.

License verification requests should be done online at <https://verify.llronline.com>.



WEST VIRGINIA
AUCTIONEER LICENSE



No: 367

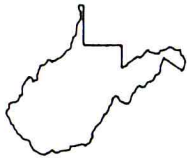
Year: 2016

Be it known to all present that

Rene' Bates

is a duly licensed and bonded auctioneer authorized to conduct public auction sales in the State of West Virginia under authority by the West Virginia Department of Agriculture.

Commissioner of Agriculture



WEST VIRGINIA
AUCTIONEER LICENSE

2016
No. 367

Rene' Bates
4660 County Rd 1006
Mc Kinney, TX 75070-6614
County: Collin

Date of Birth: 7/10/1942

Signature

Commissioner of Agriculture

Expires 12/31/2016

WEST VIRGINIA
AUCTIONEER LICENSE

2016
No. 367

Rene' Bates
4660 County Rd 1006
Mc Kinney, TX 75070-6614
County: Collin

Date of Birth: 7/10/1942

Signature

Commissioner of Agriculture

Expires 12/31/2016

2 CFR PART 200 Contract Provisions

Required Federal contract provisions of Federal Regulations for Contracts

The following provisions are required to be in place and agreed if the procurement is funded with federal funds. TIPS or its members are the subgrantee or subrecipient by definition in most cases. Not all provisions herein apply to all contracts. Compliance is required as it applies to the individual purchase contract.

**Appendix II to Part 200
Contract Provisions for Non-Federal Entity Contracts Under Federal Awards**

2 CFR PART 200

These contract provisions are incorporated by reference or attachment into all contracts with your company when TIPS or its members purchase is with federal funds if you respond to a TIPS competitive procurement request for proposals or bid..

In addition to other provisions required by the Federal agency or non-Federal entity, all contracts made by the non-Federal entity under the Federal award must contain provisions covering the following, as applicable.

Federal Rule (1) Contracts for more than the simplified acquisition threshold currently set at \$150,000, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by 41 U.S.C. 1908, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.

Notice: Pursuant to Federal Rule (1) above, when federal funds are expended by TIPS or its members, TIPS or its members reserves all rights and privileges under the applicable laws and regulations with respect to this procurement in the event of breach of contract by either party.

Does vendor agree? YES SN Initial of Authorized Company Official

Federal Rule (2) Termination for cause and for convenience by the grantee or subgrantee including the manner by which it will be effected and the basis for settlement. (All contracts in excess of \$10,000)

Pursuant to Federal Rule (2) above, when federal funds are expended by TIPS OR ITS MEMBERS, TIPS OR ITS MEMBERS reserves the right to terminate any agreement in excess of \$10,000 resulting from this procurement process for cause after giving the vendor an appropriate opportunity and up to 30 days, to cure the causal breach of terms and conditions. TIPS OR ITS MEMBERS reserves the right to terminate any agreement in excess of \$10,000 resulting from this procurement process for convenience with 30 days notice in writing to the awarded vendor. The vendor would be compensated for work performed and goods procured as of the termination date if for convenience of the TIPS OR ITS MEMBERS. Any award under this procurement process is not exclusive and the District reserves the right to purchase goods and services from other vendors when it is in the best interest of the District.

Does vendor agree? YES SN Initial of Authorized Company Official

Federal Rule (3) Equal Employment Opportunity. Except as otherwise provided under 41 CFR Part 60, all contracts that meet the definition of "federally assisted construction contract" in 41 CFR Part 60-1.3 must include the equal opportunity clause provided under 41 CFR 60-1.4(b), in accordance with Executive Order 11246, "Equal Employment Opportunity" (30 FR 12319, 12935, 3 CFR Part, 1964-1965 Comp., p. 339), as amended by Executive Order 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and implementing regulations at 41 CFR part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

2 CFR PART 200 Contract Provisions

Pursuant to Federal Rule (3) above, when federal funds are expended by TIPS OR ITS MEMBERS, for all construction contracts awarded by grantees and their contractors or subgrantees, the proposer certifies that during the term of an award, when federal funds are expended, by the TIPS OR ITS MEMBERS resulting for this procurement process the vendor will be in compliance with Equal Opportunity Employment laws specifically Executive Order 11246 of September 24, 1965, entitled "Equal Employment Opportunity," as amended by Executive Order 11375 of October 13, 1967, and as supplemented in Department of Labor regulations (41 CFR chapter 60).

Does vendor agree? YES SM Initial of Authorized Company Official

Federal Rule (4) Davis-Bacon Act, as amended (40 U.S.C. 3141-3148). When required by Federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-Federal entities must include a provision for compliance with the Davis-Bacon Act (40 U.S.C. 3141-3144, and 3146-3148) as supplemented by Department of Labor regulations (29 CFR Part 5, "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction"). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-Federal entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency. The contracts must also include a provision for compliance with the Copeland "Anti-Kickback" Act (40 U.S.C. 3145), as supplemented by Department of Labor regulations (29 CFR Part 3, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency.

Pursuant to Federal Rule (4) above, when federal funds are expended by TIPS OR ITS MEMBERS, during the term of an award for all contracts and subgrants for construction or repair, when Federal Funds are expended, by the TIPS OR ITS MEMBERS resulting for this procurement process the vendor will be in compliance with all provisions listed or referenced therein.

Does vendor agree? YES SM Initial of Authorized Company Official

Federal Rule (5) Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708). Where applicable, all contracts awarded by the non-Federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. 3702 and 3704, as supplemented by Department of Labor regulations (29 CFR Part 5). Under 40 U.S.C. 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

Pursuant to Federal Rule (5) above, when federal funds are expended by TIPS OR ITS MEMBERS, the proposer certifies that during the term of an award by the TIPS OR ITS MEMBERS resulting from this procurement process for construction contracts awarded by grantees and subgrantees the proposer agrees to be in compliance with all requirements listed or referenced therein.


Does vendor agree? YES SM Initial of Authorized Company Official

Federal Rule (6) Rights to Inventions Made Under a Contract or Agreement. If the Federal award meets the definition of "funding agreement" under 37 CFR §401.2 (a) and the recipient or subrecipient wishes to enter into a contract with a

2 CFR PART 200 Contract Provisions


small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that "funding agreement," the recipient or subrecipient must comply with the requirements of 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.

Pursuant to Federal Rule (6) above, when federal funds are expended by TIPS OR ITS MEMBERS, TIPS OR ITS MEMBERS requires that the proposer certify that during the term of an award by the TIPS OR ITS MEMBERS resulting from this procurement process the vendor agrees to the terms listed and referenced therein.

Does vendor agree? YES  Initial of Authorized Company Official

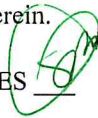
Federal Rule (7) Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251-1387), as amended—Contracts and subgrants of amounts in excess of \$150,000 must contain a provision that requires the non-Federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

Pursuant to Federal Rule (7) above, when federal funds are expended by TIPS OR ITS MEMBERS, TIPS OR ITS MEMBERS requires that the proposer certify that during the term of an award by the TIPS OR ITS MEMBERS resulting from this procurement process the vendor agrees to the terms listed and referenced therein.

Does vendor agree? YES  Initial of Authorized Company Official

Federal Rule (8) Debarment and Suspension (Executive Orders 12549 and 12689)—A contract award \$25,000 or greater (see 2 CFR 180.220) must not be made to parties listed on the governmentwide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR part 1986 Comp., p. 189) and 12689 (3 CFR part 1989 Comp., p. 235), "Debarment and Suspension." SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549.

Pursuant to Federal Rule (8) above, when federal funds are expended by TIPS OR ITS MEMBERS, TIPS OR ITS MEMBERS requires the proposer certify that during the term of an award by the TIPS OR ITS MEMBERS resulting for this procurement process the vendor certifies that they are not debarred from receiving a contract from the federal government as provided therein.

Does vendor agree they are not debarred as specified above ? YES  Initial of Authorized Company Official

Federal Rule (9) Byrd Anti-Lobbying Amendment (31 U.S.C. 1352)—Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.

Pursuant to Federal Rule (9) above, when federal funds are expended by TIPS OR ITS MEMBERS, TIPS OR ITS MEMBERS requires the proposer certify that during the term and after the awarded term of an award by the TIPS OR ITS MEMBERS resulting for this procurement process the vendor certifies to the terms included or referenced in Federal Rule 9 above.

2 CFR PART 200 Contract Provisions

Does vendor certify to the provisions in Federal Rule (9) above? YES SM Initial of Authorized Company Official

Federal Rule (10) 2 CFR 200.233 Retention of all required records for three years after grantees or subgrantees make final payments and all other pending matters are closed.

Pursuant to Federal Rule (10) above, when federal funds are expended by TIPS OR ITS MEMBERS, TIPS OR ITS MEMBERS requires the proposer certify that the awarded vendor retain all required records for three years after grantees or subgrantees make final payments and all other pending matters are closed.

Does vendor agree? YES SM Initial of Authorized Company Official

Federal Rule (11) 2 CFR §200.322 Procurement of recovered materials. A non-Federal entity that is a state agency or agency of a political subdivision of a state and its contractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines. [78 FR 78608, Dec. 26, 2013, as amended at 79 FR 75885, Dec. 19, 2014]

Pursuant to Federal Rule (11) above, when federal funds are expended by TIPS OR ITS MEMBERS, TIPS OR ITS MEMBERS requires proposer certify that during the term of an award by the TIPS OR ITS MEMBERS resulting for this procurement process the vendor will be in compliance with mandatory standards and policies relating to Procurement of recovered materials which are listed above.

Does vendor agree they will comply? YES SM Initial of Authorized Company Official

Company Name Rene' Bates Auctioneers Inc

Print name of authorized representative Sheryl Bates

Signature of authorized representative [Signature]

Date 8-16-16

Signature above acknowledges all provisions in this four page document and the vendor/proposer/bidder responses herein to the 11 rules.

Federal Requirements for Procurement and Contracting with small and minority businesses, women's business enterprises, and labor surplus area firms.

If the TIPS member anticipate possibly using federal funds for procurement under this potential award and is required to obtain the following compliance assurance.

1. Will you be subcontracting any of your work under this award if you are successful?

(Check one)

YES or NO

2. If yes, do you agree to comply with the following federal requirements? (Check one)

YES or NO

2 CFR §200.321 Contracting with small and minority businesses, women's business enterprises, and labor surplus area firms.

(a) The non-Federal entity must take all necessary affirmative steps to assure that minority businesses, women's business enterprises, and labor surplus area firms are used when possible.

(b) Affirmative steps must include:

- (1) Placing qualified small and minority businesses and women's business enterprises on solicitation lists;
- (2) Assuring that small and minority businesses, and women's business enterprises are solicited whenever they are potential sources;
- (3) Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority businesses, and women's business enterprises;
- (4) Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority businesses, and women's business enterprises;
- (5) Using the services and assistance, as appropriate, of such organizations as the Small Business Administration and the Minority Business Development Agency of the Department of Commerce ; and
- (6) Requiring the prime contractor, if subcontracts are to be let, to take the affirmative steps listed in paragraphs (1) through (5) of this section.

Company Name Rene' Bates Auctioneers, Inc.

Name of authorized representative Sheryl Bates

Signature of authorized representative 

Date 08/16/2016

SUSPENSION OR DEBARMENT CERTIFICATE

Non-Federal entities are prohibited from contracting with or making sub-awards under covered transactions to parties that are suspended or debarred or whose principals are suspended or debarred. Covered transactions include procurement for goods or services equal to or in excess of \$25,000.00. Contractors receiving individual awards for \$25,000.00 or more and all sub-recipients must certify that the organization and its principals are not suspended or debarred.

By submitting this offer and signing this certificate, this bidder:

Certifies that no suspension or disbarment is in place, which would preclude receiving a federally funded contract under the EDGAR, §200.212 Suspension and debarment.

Vendor Name: Rene' Bates Auctioneers, Inc.

Vendor Address: 4660 County Road 1006 McKinney TX 75071

Vendor E-mail Address: sheryl@renebates.com

Vendor Telephone: 972.548.9636

Authorized Company Official's Name: Sheryl Bates

Signature of Company Official: 

Date: 8/16/2016

FELONY CONVICTION NOTICE

Statutory citation covering notification of criminal history of contractor is found in the Texas Education Code #44.034. Following is an example of a felony conviction notice:

State of Texas Legislative Senate Bill No. 1, Section 44.034, Notification of Criminal History, Subsection (a), states "a person or business entity that enters into a contract with a school district must give advance notice to the district if the person or an owner or operator of the business entity has been convicted of a felony. The notice must include a general description of the conduct resulting in the conviction of a felony." Subsection (b) states "a school district may terminate a contract with a person or business entity if the district determines that the person or business entity failed to give notice as required by Subsection (a) or misrepresented the conduct resulting in the conviction. The district must compensate the person or business entity for services performed before the termination of the contract."

THIS NOTICE IS NOT REQUIRED OF A PUBLICLY-HELD CORPORATION

Complete only one of the three below: A or B or C.


I, the undersigned agent for the firm named below, certify that the information concerning notification of felony convictions has been reviewed by me and the following information furnished is true to the best of my knowledge.

Official: Sheryl Bates
Print Authorized Company Official's Name

A. My firm is a publicly held corporation; therefore, this reporting requirement is not applicable.

Signature of Authorized Company Official: _____

B. My firm is not owned nor operated by anyone who has been convicted of a felony:

Signature of Authorized Company Official:  _____

C. My firm is owned or operated by the following individual(s) who has/have been convicted of a felony:

Name of Felon(s): _____

Details of Conviction(s): _____

Signature of Authorized Company Official: _____

CONTRACT Signature Form

The undersigned hereby proposes and agrees to furnish goods and/or services in compliance with the terms, specifications and conditions at the prices quoted unless noted in writing. The undersigned further certifies that he or she is an authorized agent of the company and has authority to negotiate and contract for the company named below.

Company Name: Rene' Bates Auctioneers, Inc.

Mailing Address: 4660 County Road 1006

City: McKinney


State: Texas

Zip: 75071

Telephone Number: (972) 548-9636

Fax Number: (972) 542-5495


Email Address: sheryl@renebates.com

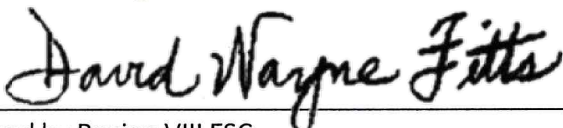
Authorized Signature: 

Printed Name: Sheryl Bates

Position: President

This contract is for a total TERM of one year with the option of two additional years. Vendors shall honor the participation fee for any sales made based on the TIPS contract. Failure to pay the fee will be grounds for termination of contract and will affect the award of future contracts.

 October 27, 2016
TIPS Authorized Signature Date

 October 27, 2016
Approved by Region VIII ESC Date

References

**** Must have at least 3 References. References must be School, City, County, University, State Agency or Other Government.**

Organization	City	State	Contact Name	Contact Phone
Plano ISD	Plano	TX	David Shelton	469-752-1581
McKinney ISD	McKinney	TX	Michael DeBusk	469-302-4288
City of McKinney	McKinney	TX	Lisa Littrell	972-547-7583
City of Arlington	Arlington	TX	Debra Carrejo	817-459-6305
Bexar County	San Antonio	TX	Mary Quinones	210-335-3634
Tarrant County	Fort Worth	TX	Jack Beacham	817-884-1133
City of Baytown	Baytown	TX	Drew Potts	281-420-6525
City of Frisco	Frisco	TX	Tom Johnston	972-292-5540
City of Allen	Allen	TX	Debra Morris	214-509-4630
City of Waco	Waco	TX	Joe Demas	254-749-8555
Collin County	McKinney	TX	Koby Phillips	972-548-4102
City of Abilene	Abilene	TX	Melissa Denson	325-676-6225
Brevard County	Melbourne	FL	Merrill Vincent	321-264-5326
City of Conroe	Conroe	TX	Kristina Colville	936-522-3830
Montgomery County	Conroe	TX	Darlou Zenor	936-760-6906
City of Denton	Denton	TX	Jody Word	940-349-7100
Ector County	Odessa	TX	Kenneth Lind	432-498-4020
City of Longview	Longview	TX	Karrie Rodgers	903-237-1322
City of Mansfield	Mansfield	TX	Gary Cardinale	817-276-4262
Mansfield ISD	Mansfield	TX	Edward Harper	817-299-6093
Garland ISD	Garland	TX	Mark Booker	972-487-3009
Mesquite ISD	Mesquite	TX	James Huckaby	972-882-7333

WARRANTY INFORMATION

There is no warranty information regarding this contract as we are selling surplus items previously purchased by the members.

HISTORY/EXPERIENCE WITH INTERNET AUCTIONING

Rene' Bates Auctioneers, Inc. (RBAI) has been in the governmental auction business since 1966. Our principal place of business is in McKinney, Texas. All the owners of Rene' Bates Auctioneers, Inc. hold auctioneer licenses issued by the Texas Department of Licensing and Regulation. We have included copies of those licenses in this section. We also hold multiple auctions licenses for states other than Texas. The majority of states do not require a license for online auctions but we will ensure that we have the proper licensing for any states in which we conduct business. The officers of the company who also hold Texas Auctioneer Licenses are listed below. We have also uploaded all licenses and permits under the All Other Certificates section in the Requested Attachments.

Rene' Bates - TX Auction License 6644
Sheryl L. Bates - TX Auction License 15025
Michelle R. Bates - TX Auction License 12100

In addition, our company holds the State of Texas HUB designation as well as several WBE certifications. The designations that we hold are listed below and copies are included in the Response Attachments section.

State of Texas HUB - Certificate 1751718382200; Expires 3/11/2020
NCTRCA - Certificate WFWB63294Y1016; Expires 10/2016
SCTRCA - Certificate 213103063; Expires 10/31/17
Women's Business Enterprise National Council - WBC Southwest WBE - Certificate 2005111081; Expires 8/31/17
Women's Business Enterprise National Council - WBC Southwest Woman Owned Small Business - Certificate W040266; Expires 8/31/17

We hold Texas Use & Sales Tax Certificate #17517183822 in which we utilize to legally collect and remit sales tax for all taxing jurisdictions in the State of Texas. Our sales tax returns are compiled and remitted electronically on a monthly basis with the sales tax collected and due remitted to the state via ACH transfer monthly. We also hold sales tax permits in those states in which we collect and remit sales tax for our clients.

We began conducting live auctions in 1966 and have conducted auctions for hundreds of municipalities, counties, utility companies, school districts, colleges and special districts since 1966. In 1999, we began offering online auctions to our governmental clients. Since that time, we have conducted thousands of online auctions selling tens of thousands of items each year. We have conducted auctions for hundreds of different governmental agencies from both rural and urban areas across the United States. We continually sell

everything from heavy equipment and trucks to real estate to smaller items that are required to be sold at auction.

Rene' Bates Auctioneers, Inc. covers the southern part of the United States more than any other auction company, and because of this exposure, all of our clients, both large and small, reap the benefits of statewide, national and international buyer base.

Our extensive data base of buyers consists mainly of "end users" who pay "top dollar", as well as wholesalers and dealers for all types of items sold.

For substantiation of our client base and the amount of auctions we are conducting, please log on to www.renebates.com to view auctions being conducted daily.

We have been conducting online auctions longer than any company in the governmental auction industry. Our website has been tailor made to mirror a live auction as much as possible. When bidders utilize our site, they are participating in an auction that is as close to a live auction as possible while still being conducted on the internet. The number of auctions we have conducted for the numerous clients we work for prove that our experience in this area cannot be surpassed. We sell almost exclusively for governmental agencies and understand the laws that regulate and govern the disposition of assets for these governmental agencies.

Many of our online clients continue to sell their heavy equipment 1-2 pieces at a time because the prices we are able to obtain for them far exceed any expectations they have. We are almost always able to bring far more money for the equipment than they are offered on a buy back, trade-in or sealed bid. This is another service that we offer you (at no charge) to help advise as to whether or not a piece of equipment will bring more money at auction or whether you should take the buyback price or trade it in.

You can view our website, www.renebates.com, 24 hours a day to see the various type of items we are selling. We sell for a wide variety of clients and can accommodate any of their requests. We continually sell items ranging from heavy equipment, vehicles, buildings, real estate, seized items for police departments and sheriff's departments to the smaller miscellaneous items that all governmental entities must dispose of.

Our company prides itself on taking care of all of our clients with personal service regardless of the size of the auction or the items being sold. Since our core business has been selling for governmental entities since 1966, we stay updated on the laws and regulations that govern the different types of governmental entities. We proudly sponsor many purchasing associations which also allow us to attend meetings and keep current on the changing rules as they apply to purchasing for our governmental clients. Some of the organizations we participate in are:

TxPPA -Charter Sponsor
NPI - Annual Sponsor
NIGP - Event Sponsors

PPANCT - Main Event Sponsor
Texas Association of Counties - Statewide and Regional Conferences

Rene' Bates Auctioneers, Inc. is a full service auction company that will work with the Coop Members from start to finish on your auction. We are not a "clearing house" or a "meeting place" for buyers and sellers. We are a professional auction company that is in the business (and has been for over 50 years) of conducting legal auctions for governmental agencies. Our proposal offers expanded services to the Members with no additional fees in order to minimize the amount of time that the Members must dedicate to the online auction process. We believe our clients should have the option of having an experienced, licensed professional handle the majority of the aspects of their auction.

Below is a brief summary of the roles for both the auction company and the Seller. The following information explains the flow of how things will work but most of the work is being handled by RBAI.

Roles and Expectations for Rene' Bates Auctioneers, Inc.

- RBAI will conduct online auctions for the Seller at their request
- RBAI will provide training for the Seller regarding the online auction process including completion of forms, process for digital photography, electronic means to submit information to RBAI and other any other special training as required by the Seller.
- RBAI will provide a Project Manager for the Seller to be available for personalized training of their staff as well as to address all questions and concerns of the Seller.
- RBAI will set the starting prices for each online auction item unless otherwise indicated.
- RBAI will post all online auction information on www.renebates.com and display auction items for two weeks prior to the closing date of each online auction conducted.
- RBAI will advertise all online auctions via normal advertising channels. Advertising will not meet member's legal requirements, if any.
- RBAI will email each of our online auction bidders when the Seller's online auction is posted, when a new item is added to the Seller's auction and the week prior to the Seller's online auction close date.
- RBAI will collect all auction proceeds and applicable sales tax and generate E-Invoices for both the Seller and the buyers for each auction item sold.
- RBAI will send Paid Receipts via email to the Seller's designees along with the successful bidder.
- RBAI will remit all auction proceeds, once all funds have been collected, to the Seller.

Roles and Expectations for the Coop Members (Seller)

- The Seller will provide all information on auction items to be sold. This information will include digital photographs of each item as well as a full description of the item being sold. For vehicles and equipment, the Seller will complete a Vehicle/Equipment Information Sheet. On miscellaneous items, the Seller will give a complete description of items included in each lot.
- The Seller will provide onsite viewing of all auction items, on a date designated by the Seller, before the closing of each online auction for bidders to come and physically inspect all items, if they so desire.
- The Seller will oversee checkout and removal of paid auction items. The Seller will utilize the Paid Receipt provided by RBAI to ensure proper checkout of items.
- The Seller will complete all paperwork on titled vehicles and forward this paperwork to the successful bidder in the manner the Seller desires.

We will provide all hardware, software and servers needed to operate the online auction. All the cost of a secure hosting facility will be absorbed by RBAI and the Members will not be required to have any additional software on their computers to utilize our system. All maintenance and upgrades are handled by RBAI and the only requirement is a simple internet connection. We offer support to the Members 8-5 Monday-Friday and also offer the availability of the owners of RBAI 24/7. Our system uses a state of the art yet simple method for bidders to submit bids with a system in place that notifies a bidder immediately if their bid has been rejected and why.

Our system includes the date and time for each auction's closing time. When an auction is within 12 hours of its closing, the items clearly display a countdown clock from both the All Items Page and the individual lot page.

Another service we offer, at no charge, is the setting of starting prices. The majority of our clients prefer that we set the starting prices, or minimum bid price, due to our experience in the number of items we sell. These prices are determined based on our past sales and historical data from the site.

Governmental entities are automatically notified weekly of new auctions added to our site. This is done at no charge to the Member.

Our system logs all bids (accepted, rejected and attempts) for every item on our site. These logs give the winning bidder information and includes the bidder's IP address, date and time of all bids and all other bidders on the item. Our system is able to track all bidders who have viewed the auction, participated in the auction as well as all winning and losing bidders in the auction. These bid logs can also be utilized in the event there is

a dispute on any item in the auction. A record of bids can be provided to the Member upon their request.

We have all security systems, anti-virus protection and firewalls in place to prevent the auction server from being hacked. Our system also prevents anyone from learning the identity of another bidder through the use of bidder numbers rather than user names.

We have contingency plans in place to backup and recover information in the event of disasters or equipment failure. The types of plans we have in place include, but are not limited to a real time copy of our live database which could be utilized in the event of a complete catastrophic failure of either the hard drives or the data center. We also have redundant backups on a daily basis that would allow us to roll back our database to a certain point in time. The advantage of this type of backup is that in the event there was ever a corruption in the database for some reason, we could roll back the database to any point in time and launch the site from that point. This backup also allows us to retrieve just certain data from a point in time allowing us to pick and choose what data we would restore. In addition, we have our entire site backed up to a server completely independent of our main data center. This backup is done to ensure no data loss in the event of natural disasters or other catastrophic event. The actual processes are in writing and in place with our data center, our web host provider and our programmers but will not be disclosed here due to confidentiality and safety concerns.

Our process for the Members is simple and precise and gives the Member control over their auction. Auctions are set up and conducted in a time frame that is suitable to the Member and their schedules.

In the event the Member needs to withdraw or cancel an auction, there are processes in place. At any time before an auction is open for bidding, the Member may choose to cancel or withdraw the auction without penalty. Although our terms and conditions state that a seller may withdraw an item, there are laws that regulate when and how this is done. UCC2-328 is a state law in 49 of the 50 states in the United States and has been used in court decisions in all 50 states. As stated in this law, items cannot be withdrawn once the item has been declared sold. We work closely with our sellers to ensure that any issues with an item has been addressed before they are placed in an auction and bidding has begun. We will work with, and accommodate, the Members in this regard as long as we stay within the guidelines of UCC2-328 which we are obligated to do.

We are able to duplicate prior auctions keep dedicated auction profiles for all our sellers. This retains information such as contacts, addresses, phone numbers, preferred inspection hours so that this data can be reused for each subsequent auction. It may also be changed or updated as the Member requires.

We have general Terms and Conditions which most of our Sellers prefer to use. In addition, the Members may add any special terms they wish for their auction. These terms will always be posted on their auction page as well as all invoices and paid receipts that are sent on behalf of the member.

When payment is received by RBAI, a paid receipt is entered and generated to the buyer along with the seller. We can send this paid receipt to as many people as the Member wants to receive it. The information that will always be found on a paid receipt is:

- i. It will always be sent from auction@renebates.com.
- ii. It will have the distribution list that has been given to us by the Seller
- iii. The receipt will show "Paid in Full", the dollar amount received and what form of payment was received (i.e. cash, cashier's check, money order, wire transfer)
- iv. The Bidder's number, name, address and phone numbers will be listed.
- v. The items that were purchased will be listed with their item number and full description.
- vi. The Taxable and Non-Taxable Totals will be showing. If tax is paid, it will reflect the amount paid.
- vii. The Removal Terms will be on the final paid receipt along with any other pertinent information the selling entity has given us.
- viii. These paid receipts are sent to the selling entity and to the winning bidder. The winning bidder uses the receipt to pick up their winning items from the selling entity.

Our report capabilities are detailed below.

Auction results are available to the seller immediately upon the close of the auction, if desired. A full set of reports will be emailed to the seller when the reconciliation and collection of the auction is complete which is typically no more than 10 days after the close of the auction. We will work with you to determine when and who to send results to in order to ensure they are done exactly as the Seller desires.

The following reports are provided to the Seller at the conclusion of each auction. In addition, these reports can be obtained by the Seller directly from our website by accessing our archives, which will be provided to each Seller upon their request.

The Current Totals Report shows the following:

- i. Total Lots
- ii. Lots with Bids
- iii. Standard Bids
- iv. Maximum bids
- v. Total bids
- vi. Total Sales

The Auction Listing Summary details the following:

- i. Name of Entity
- ii. Date and Time of Auction

- iii. Location of auction
- iv. Highlights of items being sold
- v. Categories of items included in auction
- vi. Notes regarding auction including inspection dates and times, contact persons, staggered ending explanation
- vii. Additional terms of auction including removal terms, information regarding sales tax, information on how title work is handled and statement regarding storage fee for items not picked up by as designated by the Seller
- viii. Contact information for Rene' Bates Auctioneers Inc.

The All Lots report includes:

- i. Item or Lot Number
- ii. Full description of item being sold along with all disclosures added by Rene' Bates Auctioneers, Inc.
- iii. Final Sales Price
- iv. Winning Bidder Number
- v. Also included on this report on the first page at the top right is the name of the selling entity and date and time of closing of the auction.

THESE REPORTS ARE AVAILABLE TO YOU IN THE FOLLOWING FORMATS FOR THE FOLLOWING TIME PERIODS:

Your Current Totals Report and Auction Listing Summary can be obtained from Rene' Bates Auctioneers, Inc. at any time by requesting them. They will be emailed to you upon completion of your auction and will be available for a minimum of three years after the close of your auction, upon request.

The All Lots Report may also be emailed upon completion of the auction and will be emailed to your designee along with a copy of the check/proceeds. Your auction results are archived on our website indefinitely and can be pulled up at any time by searching our Completed Auctions from our home page. In the event you need to access auctions prior to 2010, you may contact our office and we can provide a link to those from our archived website.

The paid receipts can be reproduced (email, mail, fax) at any time if the Seller is in need of another copy for their records and will be available for three years after the date of the auction.

TRAINING

Training for the seller is very minimal due to the fact that RBAI handles all aspects of your online auction with the exception of gathering data on your surplus items. RBAI will provide training, as needed, to the seller as it relates to the completion of forms required to transmit your information to RBAI. We provide the seller with the necessary

form to completely and accurately gather information on vehicles and equipment which allows the seller to be confident in knowing that they are sending all pertinent information needed to have a successful online auction. This is accomplished by merely filling in the blanks on the form we provide to you on any vehicles or equipment you wish to sell. This form, along with digital photographs of the item, is all that is needed from the seller. For miscellaneous items, all that is required is a description of the item along with digital photographs and then RBAI will finish the process from there.

We are available to train any new personnel on this procedure at your convenience. We can train by telephone or email by taking the Member's designee through each step of the data gathering process while viewing actual items that are posted on our website. All pertinent disclosures for auction items will be added by RBAI to ensure the most protection for the Member and RBAI.

Our instructional documentation is extremely limited because we believe that personal communication between RBAI and our client is the best way to ensure a successful auction. Any "training" will be done verbally by the Seller's Project Manager and she will work closely with the Seller's designee on all aspects of your internet auction.

When a seller begins utilizing online auctions with RBAI, the Seller's Project Manager will work with your designee to make certain they have a complete understanding of the Vehicle & Equipment Information Sheet. The most important thing that can be done to ensure the success of your online auction is to complete the forms on your surplus assets as accurately and detailed as possible. This will help keep the Seller and RBAI out of any disputes in the future over misrepresented items. We encourage you to use the knowledge and experience that we have gained from conducting auctions since 1966 and online auctions since 1999. We have learned what to look for and what to watch out for. We will help the Seller's staff understand the importance of describing items accurately and help them recognize problems before they occur.

As you can see, the instructional documentation is very limited for the seller. As your auction company, it is our job to make the online auction process as easy as possible for your staff. All you do is provide the information to us on the items you want to sell and we put them in a consistent format and post the auction to our website. You, the Seller, are not required to input your own auction to our website. This is a standard service that we provide to you. We know that the Seller's employees have many other duties and the online auction process should not take an enormous amount of their time. Once your information is sent to us, we will organize it, post it and add any pertinent disclosures to items that are needed. All you need to do is look it over once we have it posted and give us the okay to turn it on for bidding.

Any employee will be able to prepare a list of inventory for an online auction. There is no special training needed because our process puts the majority of the work on the auction company, not the Seller. What we will offer to your staff is the experience and knowledge of a licensed, auction company that has conducted thousands of live auctions and online auctions for governmental entities. You will benefit from what we have

learned after 50 years in the auction business and one of the main things we have learned is to make the process simple for the customer.

AUCTION SITE REFERENCES

Rene' Bates Auctioneers, Inc. has been conducting governmental auctions for over 50 years. Many of our clients have maintained our services for 40+ years. Rather than provide stories of past sales, we ask that the members visit our website, www.renebates.com, and click on Completed Auctions in the toolbar. Sales results since 2010 are visible for the Members and the general public. Unlike most online auction companies who either hide the information or make it accessible only to those with restricted sign on capabilities, we offer our sales results to anyone who wishes to access that information. We believe that our best references are the actual sales results that we have obtained for our clients over the years.

METHOD OF CONTACTING BIDDERS (bidders database)

The Member's auctions will be published on our website as soon as inventory is available. It will be available for preview before it's open for bidding to ensure that your auction is presented to the public for as long as possible. Your online auction will generally run for a two week period which is what we have found to be the ideal amount of time.

Rene' Bates Auctioneers, Inc. will advertise your auction at our expense through our normal advertising channels at our sole discretion. **PLEASE NOTE THAT WE DO NOT DO YOUR LEGAL ADVERTISING.** We have the experience and expertise to properly advertise your auction in the most advantageous way to produce exposure and, in turn, generate revenue for your auction.

The Marketing Plan to be used for auctions includes:

- E-Mail and Electronic Mailings
- Mail List
- Brochures
- Advertisements (Trade Journals and Newspapers)
- Calendars
- Verbal Announcement
- Personal Contact

A more detailed description of each of these marketing tools/capabilities is as follows:

E-Mail and Electronic Mailings

Rene' Bates Auctioneers, Inc. has an e-mail address (auction@renebates.com) which allows customers to contact us regarding brochure requests, auction information, mail list addition requests, or any other information. Electronic mailings allow us to quickly announce, advertise and distribute auction information using our global e-mail mail list with just a few clicks of a button. We currently have thousands of names on our email list as well as over 62,000 registered bidders on our site. The email addresses that we have were not bought or retrieved from some random source. These are interested buyers who have registered on our website and requested to be added to our email lists in order to receive notification of auctions. These buyers have the option to add or remove their name at any time. *These buyers have the ability to remove their names each and every time an email is sent from RBAI. This is done in order to comply with governmental anti-spam regulations.* We currently average over 30 million hits per month on our website and we are adding email addresses and registered bidders daily due to our continual national and international advertising.

Because we conduct auctions across the United States and are continually adding new locations, the exposure you receive from the new entities buyer's base and our advertising for these new locations will benefit your auction in the increased exposure our website receives.

On a weekly basis, we send email announcements to our registered bidders and the email addresses that have registered with us. These announcements are sent based on the type of items the bidder is interested in. If your auction has vehicles, computers or miscellaneous items in it, all bidders who have indicated an interest in those items will be notified that your auction has been posted and they will be notified again the week before your auction closes.

Your auction will be listed on the home page of www.renebates.com basis which receives the benefit of all the traffic on our website. This means that a buyer interested in an auction anywhere in Texas, New Mexico, Arizona or Florida, etc. will also have access to your auction when they access our site. We sell for numerous cities and counties across the State of Texas, Florida, Arizona, New Mexico, Oklahoma and the United States and any buyer accessing our website for any of these auctions will also see your auction listed there. In addition, the entities that we work for provide a link to www.renebates.com from their own city or county websites. When an individual accesses those numerous websites, they are able to access ours giving them the ability to view and bid on any and all auctions that we have posted. Also, our web host provider submits our website address, along with 20-30 main keywords to national search engines on a monthly basis to ensure that anyone searching the internet can also pull up a link to our website simply by searching for key phrases such as Vehicles, Auctions, Municipal, Heavy Equipment, Police Cars, etc.

Mail List

In addition to the thousands of names on our email list mentioned below, Rene' Bates Auctioneers, Inc. has a hard mailing list of over 35,000 names of proven buyers. This diverse list has been amassed from conducting municipal auctions for over 50 years throughout the United States, past buyers and auction attendees from across the globe, submissions forwarded from city/county mail list requests, and daily telephone and e-mail requests. Our hard mail list (or live auction mail list) is maintained, added to, cross referenced, and backed up on a daily basis. These names are coded under one or more of our 70 different coding categories as to the type of items they purchase and the distance each buyer will travel.

Our extensive list of reliable buyers will be notified of your auction and will strengthen the bidder activity of your sales. Our experience has been that we consistently draw national and international bidders to our website, and even if they are not the successful bidder on a piece of surplus inventory, their participation enhances the results of the sale.

Advertisements of Sale

We advertise continually in major newspapers across Texas and the United States. In addition, we will advertise your auctions in conjunction with the many other auctions that are being conducted daily on our website. We advertise in trade journals, such as Rock & Dirt and Fire Trader, just to name a couple. We send out brochures for our live auctions on a monthly basis and we include all online auctions in those brochures, as well. These brochures are sent to our mail list which is broken down geographically and by buyer's interest. This ensures that we reach anyone in your area or those buyers that are interested in the items you are selling, regardless of where they are located.

EXAMPLES OF TRADE JOURNAL ADVERTISING INCLUDE, BUT NOT LIMITED TO:

Trade-A-Plane

Rock & Dirt - Samples Below

Fire Trader - Sample Below

Fire Apparatus & Emergency Equipment

Local Newspapers as needed depending on inventory being sold

Our experience in conducting live auctions since 1966 and online auctions since 1999 has helped us in determining the above advertising strategy and fine tuning it as we find what works best.

Verbal and Personal Contact

Rene' Bates Auctioneers, Inc. will begin announcing your auction date at each auction we conduct prior to your auction as soon as an auction date is determined.

Rene' Bates Auctioneers, Inc. always personally contacts proven buyers of the type of vehicles and equipment each auction has. Upon receiving the initial inventory we begin to place phone calls to known buyers of your surplus assets.

RBAI will work in conjunction with the Seller to receive Public Service Announcements (PSA) from local news media. These have been very effective in the past at getting the local community informed and involved in the online auction.

CUSTOMER SERVICE

Customer Satisfaction/Maintaining Good Customer Relations with Bidders:

A core belief of our company is that we cannot be successful without good bidders and good sellers. As a result of this belief, we make every effort to accommodate both bidders and sellers. Good communication is one of the best ways to maintain good customer relations with our bidders. We offer multiple ways for a bidder to reach us. They are able to email any of our employees directly, they can email us through our website, we are available by phone and also provide cell phone numbers to bidders if any of the officers are out and need to be reached. We encourage bidders to give us any and all feedback as it relates to our website, its functions, our processes and even our seller's. All customer feedback is given to upper management and we make personal contact with bidders as necessary. Each and every bidder is important to our company and we validate this belief by always being available for the bidder no matter what the problem or issue and no matter how large or small.

Payment Methods:

RBAI accepts payment for auction purchases in the form of cash, cashier's check or money order within 4 days of the close of the auction. In addition, we are able to accept wire transfers upon request. For the \$100.00 refundable deposit only, we allow our bidders to send us money via Pay Pal. The use of Pay Pal for the payment of the \$100.00 refundable deposit allows bidders to remit these funds instantaneously. This is a convenience tool for the bidders who do not want to obtain and mail a cashier's check or money order and also accommodates those bidders that wait until the last minute to decide they want to bid. Pay Pal is a very quick and reliable way for bidders to remit their \$100.00 refundable deposit.

We have a process in place for collecting payments from bidders. In 99% of the cases, our sellers prefer that we collect the proceeds. This keeps them from worrying about the collection of the items and the collection and maintenance of sales tax records. We do this for no additional charges or fees as it is part of our standard service to our clients. A summary of our collection procedure and payment types are discussed below.

All collections for payment are done by Rene' Bates Auctioneers, Inc. Once a successful bidder has been invoiced for their auction purchases, they have four days in which to remit payment to RBAI. Payment is made in the form of cash, cashier's check or money order. Payment can also be made in the form of a wire transfer if the bidder requests that method. Once payment is received, the bidder and the seller is sent a Paid Receipt from RBAI which the bidder will utilize when picking up their items in accordance with the seller's specific terms and conditions.

After the four days has passed, the staff at RBAI begins contacting all bidders whose payment has not been received. A standard email is sent to the bidder reminding them of when the payment was due as well as a second copy of the invoice sent to them previously. Each communication sent to the bidder has a deadline of when RBAI expects payment. If the deadline passes with no response, we begin with our second email as well as telephone follow-up. We make every effort to collect on all items sold through the online auction.

In the event our collection process is not successful, the bidder will receive a final email notification demanding payment and informing them that their \$100.00 refundable deposit will be forfeited and they will be banned from all future auctions if payment is not received by the designated date.

RBAI will keep the Seller informed of all communications between ourselves and the bidders as it relates to the collections procedure.

Problem Resolution

We are very hands on as it relates to any type of problems or disputes that may arise with our bidder base. In the event that either a bidder or the seller contacts us about a problem with an auction item, we immediately take ownership of the issue. Our first job is to get all the facts from both parties. If it is a situation where a bidder believes they have an issue with an auction item, we determine what the issue is and what resolution the bidder is expecting. From there, we make contact with the seller and verify the information received from the bidder. We look at the bidder's history with us as well as the facts of the issue to come up with a resolution that is acceptable to all parties. We will always verify with the Member, if required, before making any decisions that require a refund of any type to a bidder.

METHOD OF COLLECTION OF DATA AND/OR PHOTOS OF SALE ITEMS

All that a seller needs to collect and submit data on our site is a digital camera and a computer. The photos can then be e-mailed to us or uploaded to a secure FTP server. On vehicles and heavy equipment, we will provide you with a Vehicle/Equipment Information Sheet that will need to be filled out for each item. The VIS is a fill in the blank form that contains all the information we need on the item. The VIS forms can be faxed to us.

We will work with you to ensure that all the pertinent information on your item is posted. The more complete and accurate the descriptions are, the more we are able to get top dollar for your surplus items. We will provide the member with a Vehicle Information Sheet to ensure that we get the information we need to post for any rolling stock.

Miscellaneous items can be sent to us on an Excel spreadsheet which includes your Asset Number and description of the items being sold along with at least one picture of each item.

We are a full service auction company that provides our expertise from start to finish as it relates to your auction. Our job is to do as much of the work for you as is practical to ensure that you are able to apply your resources only where absolutely critical in the auction process. Our goal is to handle all areas of your auction so that you can dedicate resources to the inspection and removal process as you see fit.

Our goal is to ensure that the auction process is as simple for the member as possible. We will post your auction, make any updates/changes as needed, monitor your auction, and perform any maintenance required on your auction as it is being conducted. When your auction closes, we will invoice all buyers, collect all sales proceeds and finalize your sale with a complete set of reports along with a reconciliation of your auction sent to the distribution list of your choice.

METHOD OF AUCTIONING - SET MINIMUMS, DEADLINE DATE AND TIME, MODIFIED DEADLINES, ETC.

There are various tools and customizations available to the seller in creating auctions, including but not limited to, private auctions (e.g. law enforcement only), starting prices, minimum bid requirements, bidder deposit requirements, etc.

Individual Consignors – When the Seller has several internal departments offering items in the same auction, the lot numbers can be assigned to identify a particular consignor (e.g. Police Department would be PD101, PD102; Parks & Recreation would be PR101, PR102, etc.) When this option is used, the accounting reports that are provided to the Seller will have each department’s total broken down separately for use in your internal reallocation.

Private auctions – We have the capability of conducting private auctions. In the past, we have conducted private auctions when we have sold real estate for municipalities. Because of the value of the real estate, the municipalities wanted to ensure that only serious bidders were allowed to bid on the real estate. They required a larger deposit to bid on the real estate. One instance required a \$10,000 deposit and another had required a \$100,000 deposit because of the high value of the real estate being sold. RBAI handled this entire process by posting clearly on the website that only bidders with the “special deposit requirement” would be allowed to bid. Cashier’s checks were sent to RBAI for the amount specified and were held by our office until the auction had closed. The cashier’s checks were not deposited. After the auction closed, the unsuccessful bidders

had their cashier's checks returned to them and then we forwarded, to the title company the Seller had requested, the cashier's check of the successful bidder. Even though all bidders accessing our site could view this auction, they could not bid on this private auction unless they had met the deposit requirement. This scenario would work the same way for a "law enforcement only" auction and RBAI would verify requirements of the bidders before allowing them to bid on that auction.

Starting Prices – Starting prices for your auction items can be handled a couple of different ways. Many of the entities that we sell for allow RBAI to set the starting price for all items that are sold through our website. We utilize our vast database of items sold to determine what starting price an item should have in order to obtain the best price for the Seller. (See below for Minimum Bid Requirements). If the Seller has a certain dollar amount that an item MUST bring, this would be discussed with Rene' Bates prior to the opening of the auction to ensure a complete understanding of the item and the price requirement.

The Seller is also able to set their own starting prices and RBAI would look at those prices before opening the auction to make certain they are in line not only with our historical data but the current market, as well.

Minimum Bid Requirements – This is the price that we begin bidding on your items. This price can set by the Seller along with recommendations from RBAI. Although our system does allow the feature "Minimum Not Met" we have not utilized it in the past. Our experience from conducting thousands of online and live auctions has helped us in assisting the clients we work to set their Starting Price that helps them obtain the highest result. We have seen time and time again items which are started with a price that is too high will discourage bidding activity. However, if the Starting Price is realistic, the bidding activity will be high on that item and more often than not the item will bring more than the Seller expected. We know the market on all items we are selling and we have the historical data from thousands of items to validate what an item should be started at and what it will most likely bring. This is where the experience and knowledge of a true auction company, one that has been in this business for 48 years, benefits the Seller and results in the best prices for your auction items.

Bidder Deposit Requirements – Bidders on our site are required to have a \$100.00 refundable deposit in escrow with RBAI. The \$100.00 has greatly reduced the number of defaults from winning bidders. This ensures that we have only serious, valid bidders on our site. We have found that when a bidder has the deposit at stake, they are much less likely to default on a bid. This deters anyone who is attempting to hurt the integrity of your auction by placing false bids or high bids that they have no intent to pay for. Any bidder that does not pay for their auction item forfeits their \$100.00 deposit and they are banned from any future online or live auctions that RBAI conducts.

There are various tools available to a bidder who is participating in an auction, including but not limited to, email notifications, proxy bidding, identity protection, dynamic closing, etc.

Email notifications – Bidders who have signed up to receive email notifications are sent an email once a week regarding all auctions that have been posted that week as well as any auctions closing the next week.

When a bidder places a bid on an auction item, they are automatically sent an outbid notice from our system in the event someone places a bid greater than theirs. This is done instantly from our system and gives the bidder peace of mind that they do not have to continually watch the auction to see if they have been outbid. RBAI will notify them in the event they are outbid and this gives them the opportunity to go back in and place another bid. When a bidder places a bid on an item, the system will instantly tell them if they are the high bidder or if there is a bid equal to or greater than their bid amount.

Proxy Bidding – We have a Maximum Bid feature that allows bidders to place the highest bid they are willing to pay for an item. The system will then automatically place bids for this bidder up to their Maximum Bid amount. These automatic bids will be placed in increments set for that particular item and will only be utilized in the event it is necessary. If a bidder's Maximum Bid amount is exceeded by another bidder, they will be notified by email that they have been outbid and will have the opportunity to place another bid, if they so desire. Our bidders are very comfortable with the feature in that allows the freedom to set their maximum price and not worry about missing any bids if they are away from the computer. The security of this feature does not allow any bidder to see the Maximum Bid of any one else and under no circumstances does RBAI share this information with any bidder.

Identity Protection – All of our bidder's identity is protected with the anonymity of a Bidder Number. The Bidder Number is the only identifying feature that any one can see on our website. All other information, such as name, address, phone numbers, etc, is used exclusively by RBAI. All customer data on our server is encrypted and all customer information to and from our server is handled using Secure Socket Layer (SSL) connections.

Dynamic Closing – Also known as auto-extend or extended bidding. Our system supports extended bidding. In the event any item receives a bid within 5 minutes of its set closing time, it will automatically be extended for an additional 5 minutes. If another bid is placed on that item at any time during that extended period, the item will automatically extend for another 5 minutes. This will continue until there has been no activity for 5 minutes. When an item has gone into extended bidding, there will be an indication on the item that it has extended and will show how many minutes remain in the extended bidding.

1. Software Ability and Functions

- I. RBAI has the ability to add or remove any and/or all auctions items at any time during the online auction process, before the auction closes, at no cost to the seller. Once we receive a request from the Seller to add or remove an

- item, we have two dedicated people at RBAI to make those changes and they are done usually within the hour they are received.
- II. Our system has the ability to use as many pictures as necessary for all of your online auction items. There is no limit to the number of pictures that can be used for each item.
 - III. RBAI has the ability to add, delete, re-list and/or make any changes to an auction item with no cost to the seller. Once we receive a request from the Seller to add, delete, re-list or make any changes to an auction item, we have two dedicated people at RBAI to make those changes and they are completed usually within the hour they are received. Items to be re-listed for sale will be done after coordinating a new auction date with the Seller.
 - IV. RBAI has the ability and a process in place to block bidders or cancel any bids on any items, being auctioned on, by bidders who have failed to comply with our online Auction's Terms and Conditions. The online auction staff at RBAI is able to block bidders or cancel bids within 5 minutes after receiving the request. We maintain our systems completely in-house and are able to make these changes at a moment's notice.
 - V. RBAI has the ability to change any and/or all the information on auction items and inform all bidders of the changes to the auction items. If an auction item is changed after bidding has begun on an item, our system emails all bidders on the item to notify them of the changes. At this point, they have the option to change or cancel their bid if they so desire. In addition, if significant changes are made to the item, the change is posted on that item in BOLD CAPS so that any bidders looking at this item know that it has been changed since it was originally posted.
 - VI. RBAI has the ability and a process in place to validate all of our online auction bidders. All our registered bidders are required to post a \$100.00 refundable deposit before being allowed to make a bid on our system. This deposit ensures that we have valid, serious bidders bidding on your auction items. This also ensures that we have a minimal amount of non payments from our registered bidders. If a registered bidder does not pay for their items within the specified time frame, they forfeit their deposit and are permanently banned from all auctions through Rene' Bates Auctioneers, Inc.
 - VII. Our system automatically invoices all winning bidders within 30 minutes of the time the auction closes. Their invoice includes a Lot number, Lot description, winning bid amount and applicable sales tax, if any. The invoice also gives the winning bidder complete instructions on how to make payment to Rene' Bates Auctioneers, Inc.
 - VIII. When an auction item is paid for, a paid e-invoice is sent to both the buyer and the seller showing amount paid, method of payment and the buyer's name. This invoice can be sent to as many different contacts as the seller requests. This invoice is what the buyer uses when he comes to pick up his merchandise and the seller knows that they must have received the email from Rene' Bates Auctioneers, Inc. before releasing any merchandise.
 - IX. Upgrades are made to our system upon our request on an as needed basis. Upgrades in the past have been a result of our requests to add features that

are unique to our auctions. We also consider customer suggestions when adding or upgrading features. These upgrades are always done in a timely fashion, sometimes same day or others might take longer in order to get the program written for the upgrade and the testing completed. All upgrades are fully tested on a private network before being implemented on the www.renebates.com server.

- X. Our system has a history function that can be accessed which enables you to view all auctions that have been conducted on our website. This feature allows you to look at items that have sold with a final price paid for those items which can assist you in verifying the market for any item on any particular date.

The methods utilized above along with all the different features offered to our clients plays a role in disposing of surplus assets as they become available. No longer does a client need to wait to accumulate a large number of items before they can be sold. It is always best to dispose of these assets before they sit idle for too long which can end up costing money as the condition of the assets deteriorate. Our auction model will reduce the amount of surplus inventory that is on hand which will then turn those idle assets into dollars. The ability to sell these assets as they become available reduces your cost of storing and maintaining these items which directly affects the ultimate costs of your auction and its proceeds. The additional bidding features we have such as extended bidding will increase the ROI on your assets as it is designed to keep your auction item open for bidding as long as there is interest in it. When closing out your auction, we take on the responsibility of invoicing and collecting from the successful bidders as well as any past due collections that might be necessary. This takes the burden of any state or federal reporting requirements off of the member such as Form 8300 cash reporting requirements or sales tax auditing issues. As your full service auction team, we take on the responsibility of this for you. Lastly, as we had previously mentioned, in the event there is a bidder that does not pay we are able to ban them from any future bidding activities. We work with the member in communicating this action with the bidder so that the member doesn't have to be involved in that process either, unless they choose to.

SITE STRUCTURE

Auctions are accessed by going to www.renebates.com. We have a dedicated, private server which handles all online auctions that are conducted by our company. The information below will navigate you through our website.

One of the most important aspects of the layout of our website is that every single auction that gets listed will be at the top of the page when it is their day and time for closing. When bidders access www.renebates.com, they are immediately viewing auctions being conducted and they are listed in the order they are closing. We get more positive feedback from bidders and clients on the layout of our website because they don't have to hunt and search for auctions. One of the best things about each auction getting its time

front and center is that a bidder coming to our site looking for one particular auction will immediately see all auctions closing even though that was not their intended purpose when they visited.

Home Page — Our Home Page shows all auctions open for bidding on any given day. When a bidder goes to www.renebates.com, they are immediately taken to the page that lists all open auctions. The auctions are listed in the order they will be closing so a bidder will always see the auction closing the soonest. The top of this page and every other page includes our Tool Bar which includes the following shortcuts:

- a. For Bidders - Includes links on How to Bid, New Registration, Update Profile and Mailing Subscriptions, Retrieve Login, Review Profile and Activity and see What's New.
- b. Help Menu - Links on How to Bid, Frequently Asked Questions, Terms & Conditions, Privacy Statement and Forms.
- c. Subscriptions - Allows the public to subscribe, unsubscribe and update subscriptions to our emails without creating a bidder account, if they so desire.
- d. Completed Auctions - History of all completed auctions from 2010 forward. The general public is able to access this information without any login required.
- e. Sellers - Link provides frequently used Forms for our sellers and information on How to Sell and How to Bid with RBAI.
- f. Contact Us - Allows bidders, potential bidders, sellers or the general public to send us an email at anytime. Also provides all company information including address, phone number and hours.
- g. About Us - This link was added in 2016 to celebrate our 50th year in business. This page highlights our many achievements throughout our 50 year history and is accessed via a public link at all times.

After going to www.renebates.com, you can click on any auction that is listed. By clicking on any auction you are interested in, you would bring up the “Title Page” for that auction.

Title Page – shows all pertinent information for this auction. It lists the following information:

- a. Name of Auction
- b. Date and Time of Auction
- c. Location(s) of Auction
- d. Highlights of Auction – Items Being Sold
- e. Categories of items in auction
- f. Notes section which includes inspection dates, times and locations, information regarding extended bidding and invoicing information.
- g. Terms which include removal terms, paperwork terms and sales tax items
- h. Contact information for Rene’ Bates Auctioneers, Inc.

After you click into the Title Page, click into “All Items” to see the list of items being sold with full descriptions.

All Items – When you click into All Items, it will bring up a list of all items with full descriptions of items being sold. You can place a bid from this screen by signing in and placing either “Your Bid” or “Your Maximum”.

To view the photographs that correspond with an item, click on the “Item” or “Photo” Icon to view the photographs and description or simply hover over the thumbnail photo from this page.

Item with Photographs – When you click on the “Item” or “Photo”, you will see a screen that includes the photographs and description of the item. Also at the bottom of the page, there will be spaces for the bidder to place their bids by entering their bidder number and password. Any and all information that a seller wishes to provide is included in each item's description. For miscellaneous items, this can be as simple as "3 chairs". For vehicles and heavy equipment, we provide forms to the seller to ensure that all pertinent information needed by the buyer is provided on the auction listing.

SAFEGUARDS & SECURITY

1. HTTPS address: www.renebates.com
2. Direct access is available at all times by accessing www.renebates.com
3. Rene’ Bates Auctioneers, Inc. has a dedicated web site to our online and live auctions hosted at the Rackspace Managed Hosting in San Antonio, Texas. Rackspace is one the largest web hosting companies in the United States and they utilize the latest technologies and have an experienced and dedicated staff available for technical issues 24/7 for all their clients.
4. Our website is hosted by Rackspace Managed Hosting in San Antonio, Texas. but all information, maintenance, and handling of the website is done by employees of Rene’ Bates Auctioneers, Inc.
5. We offer expert technical support to bidders and selling entities, as well as 24-hour site monitoring, through our ISP, allowing for a 99.9% uptime guarantee. All servers and connectivity hardware are housed in a secure building with fully redundant power and multiple on premises backup generators. In addition, our server is also backed up on a daily basis to an off-premise location further securing our data. Our high performance, dedicated and redundant backbone network connections enable us to offer unlimited bandwidth, providing unlimited users full access to your auction. We accomplish this through multiple, triple redundant OC-3 connections via UUNET, MCI and GTE International network services. These connections allow for scalable bandwidth to make sure all bids are accepted at the closing of each item without delay, which ensures that the selling entity will receive the highest possible selling price. Through our firewall network administrator and traffic shaping abilities, we are able to protect against almost all types of Denial of Service attack, hacking, and Internet saboteurs. Our

site also supports Secure Socket Layer (SSL) transaction encryption, allowing bidders to confidently transmit their registration information over the web.

REPORTING CAPABILITIES

We send a remittance letter with a summary of the auction and a copy of the check to your designee. We can also send funds via ACH transfer.

We send reports electronically to your designee upon final reconciliation of the auction. Reports include:

- Totals Report - Summarizes number of lots listed, number of lots sold, number of participating bidders, number of winning bidders, number of bids placed.
- Auction Listing Summary - Actual copy of your auction title page which lists all pertinent information on auction such as date and time of closing, location of items, highlights of items being sold, categories of items, special notes, terms of auction including payment terms, removal terms, removal locations and any special instructions regarding removal. Also includes contact information for René Bates Auctioneers, Inc.
- All Lots Report - Lists each lot number, lot description, final sales price and winning bidder number.

In addition to the standard reports sent to the client above, we also maintain reports of every auction we conduct which includes Payment Summary, Manage Results, Tax Settlements by Location, Tax Settlement Summary, All Participating Bidders, All Active Bidders, All Winning Bidders, All Losing Bidders and All Bid History. These reports are archived on a secure server off site to ensure that they are readily available for a minimum of three years in the event the Member needs them.

The number of registered bidders in our database is over 62,000. This number represents individuals who have completed the registration process which includes providing name, address and phone number along with email. In addition to the registered bidders, of which approximately 50-60% are bidding on a regular basis, we have hundreds of thousands of emails addresses where people have signed up to receive our weekly emails.

Our website statistics show that we get a consistent average of 30 million hits per month on our site. Our belief is that having one proven buyer is worth 100 unproven buyers. Our reputation and consistency in the business for over 50 years has given us a proven, solid buyer base and a market presence that is unrivaled by any other. We have always and will continue to ensure that having the right audience and proven buyers for your surplus assets is our priority.

VALUE ADDED SERVICES AT NO COST:

At no additional cost to the Member or the buyer, we will verify all vehicles sold on our site through the Texas Department of Motor Vehicles - Motor Vehicle Records online search system which allows us to check the VIN of all vehicles. We maintain a paid subscription to this service in order to have an official verification of each vehicle posted on our site. This service ensures the accuracy of all VINs posted on our site plus verifies the ownership information as well as any special notations that the TxDMV may have branded on the titles.

Provide a link to the Member which allows the Member to complete and file online the Texas Motor Vehicle Transfer Notification Form (VTR-346). This will allow the Member to submit the new purchaser information online to the TxDMV which puts the State on notice that the Member has sold the vehicle.

We will work with Members to negotiate a discounted price for any single item that brings more than \$50K.